Airborne radiometric surveys through the use of a handheld spectrometer fitted to a UAV

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Abstract

Matter is made up of small particles called atoms of which some are unstable due to an imbalance between protons and neutrons. This results in the particles being radioactive, which will over time undergo radioactive decay in order to achieve a more stable state.

Measuring the radioactive decay of elements in the field is a physical and time-consuming process that is conducted mainly through the use of handheld equipment such as spectrometers and scintillometers. Alternative methods include aerial and car surveys, but are more specialized, costly and situational.

This study is aimed at testing the viability of unmanned aerial vehicle (UAV) systems as an alternative measuring platform. UAV systems are faster than walking, more accessible than vehicles and cheaper than airplanes, making it theoretically a great platform for radiometric surveys. Throughout the study, a total of three different UAV systems were used and equipped with a handheld RS 230 spectrometer. A series of tests were conducted in order to determine the measuring capabilities of the spectrometer at different heights above the surface, as well the efficacy and accuracy of using a UAV system equipped with a spectrometer.

A number of models were developed simulating the effect of a UAVs speed and height on the spectrometers measuring accuracy. The effect of different radiological source sizes on the measured radiation at a fixed height was measured. Furthermore, radiation was measured at different heights using a constant radiation source. Results indicated that a strong correlation existed between simulated and measured values.

The study provides sufficient evidence that the use of a UAV systems equipped with handheld measuring equipment is capable of producing accurate and reliable radiological data. However, the use of any elevated radiometric detection device has its limitations. An area containing a homogenous radioactivity can be measured at greater altitudes than areas having an inconsistent spread of radioactivity. For greater radiometric detection accuracy, UAV systems used in areas with altering levels of radiation, are required to fly at lower altitudes to be able to detect inconsistencies. The effect of the UAV system on the spectrometer measuring capabilities has to be accounted for during data analysis.
Keywords

Airborne radiometric detection, UAV, New Machavie, RS 230.

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Without their different inputs, this project would not have been successful.
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Abbreviations

\( m.s^{-1} \)  
Meter per second

\( ^\circ C \)  
Degrees Celsius

AM  
Amplitude modulation

Ar  
Argon

BGO  
Bismuth Germinate oxide

Bq  
Becquerel

Bq/m²  
Becquerel per square meter

C  
Carbon

Ca  
Calcium

cm  
centimeter

\( cm^3 \)  
Cubic centimeter

CPS  
Counts per second

CsI  
Caesium iodide

eV  
Electron volts

FM  
Frequency modulation

FOB  
Fraction of Background

FOV  
Field of View

FTA  
Fault Tree Analysis

g/T  
Gram per ton

GPS  
Global positioning system

GTK  
Geological Survey of Finland

He  
Helium

HGM  
Horizontal gradient magnitude

Hz  
Hertz

I  
Iodine

Ir  
Iridium

K  
Potassium

keV  
Kilo-electron Volt

kg  
Kilogram

km/h  
Kilometers per hour

m  
Meter
<table>
<thead>
<tr>
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<tr>
<td>$m^3$</td>
<td>Cubic meter</td>
</tr>
<tr>
<td>MeV</td>
<td>Mega-electron volt</td>
</tr>
<tr>
<td>Mm</td>
<td>Millimeter</td>
</tr>
<tr>
<td>NaI</td>
<td>Sodium Iodide</td>
</tr>
<tr>
<td>Pa</td>
<td>Protactinium</td>
</tr>
<tr>
<td>Pb</td>
<td>Lead</td>
</tr>
<tr>
<td>PCA</td>
<td>Principal Component Analysis</td>
</tr>
<tr>
<td>Po</td>
<td>Polonium</td>
</tr>
<tr>
<td>PVC</td>
<td>Polyvinyl chloride</td>
</tr>
<tr>
<td>RSD</td>
<td>Radiation Survey Device</td>
</tr>
<tr>
<td>Sec</td>
<td>Second</td>
</tr>
<tr>
<td>SNR</td>
<td>Signal to noise ratio</td>
</tr>
<tr>
<td>Sv/h</td>
<td>Sieverts per hour</td>
</tr>
<tr>
<td>Te</td>
<td>Tellurium</td>
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<tr>
<td>Th</td>
<td>Thorium</td>
</tr>
<tr>
<td>U</td>
<td>Uranium</td>
</tr>
<tr>
<td>UAV</td>
<td>Unmanned aerial vehicle</td>
</tr>
<tr>
<td>A</td>
<td>Alpha</td>
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<td>B</td>
<td>Beta</td>
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1 INTRODUCTION

The following chapter provides an introduction to the research problem, the aims and objectives of the study and provides the layout for the dissertation.

1.1 Research Problem

Radiometric methods are based upon the measurement and detection of natural radioactivity, with the focus upon gamma radiation, originating from three naturally occurring radioactive elements: potassium, thorium and uranium. Radiation is formed during the disintegration of unstable isotopes. During the disintegration three types of radiation are emitted - alpha particles, beta particles and gamma rays. Alpha and beta particles can be measured as the physical loss of particles within the element whereas gamma rays are weightless, contain no charge and are classed as an electromagnetic radiation found at the bottom of the electromagnetic spectrum with a frequency of \(10^{20}\) Hz and a wavelength of \(10^{-12}\) meters. The reason why gamma rays are preferred over alpha and beta particles is due to the penetrating effect of gamma rays. Alpha particles have very little penetrating effect and are absorbed within 10 cm of air. Beta particles have a higher penetrating effect than alpha particles but will be absorbed in several meters of air. Gamma rays on the other hand have a penetrating range of several hundred meters thus for field studies, providing a clear idea of the isotopes producing the gamma radiation (Richards, 1981).

Obtaining radiometric data is a physical and time-consuming process that is conducted mainly through the use of hand held equipment such as spectrometers and scintillometers. Alternative methods such as aerial surveys are a viable option, but expensive with various industrial sites having strict regulations concerning the use of aircraft at low altitudes. Aerial surveying involves the measuring of gamma radiation emitted by the geochemical elements in the upper 30 cm of the earth’s crust. Due to safety reasons the aircraft must be at a height of 10m or more above the ground. As a result of the height difference the amount of gamma radiation is greatly reduced. To compensate for the loss of radiation a spectrometer with a higher sensitivity must be used. This requires a spectrometer containing a bigger crystal, generally over 8.2 litres, which in turn increases the cost of the aerial survey (Richards, 1981).

Thus, the purpose of this dissertation is to develop a method of surveying that is cheaper than aerial surveys and faster than physical handheld ground surveys. The proposed method is to make use of drone technology and combine it with hand held equipment.
The testing will be conducted at the New Machavie Gold Mine tailing dams situated 22 km outside the town of Potchefstroom. The mine was active from the 1930’s until the early 1940’s and was used to mine gold from the Black Reef Formation of the Transvaal Supergroup. Uranium is a naturally occurring radioactive mineral that is commonly associated with gold mining activities (Wendel, 1998). The chemical and physical processes during gold mining result in the accumulation of radioactive minerals at certain stages during the process, most commonly and in the case of the new Machavie Mine, at the tailing dam. Although uranium is expected to be in large quantities, the occurrence of thorium and potassium will also be measured in the tailing dam.

In 2013 an article was published describing an unmanned aerial vehicle (UAV) being fitted with a radiation survey device (RSD). The purpose of the UAV was to serve as a reconnaissance instrument in emergency situations. The new device was successfully manufactured and tested. The RSD is capable of detecting dose rates between $10^{-7}$ and $10^{-1}$ Sievert per hour and can detect surface contamination of 105 Bq/m² at a height of 100 m (Bogatov, 2013). The study proved that an UAV can be fitted with radiation detectors and accurately detect radiation. The success of a handheld spectrometer mounted onto a UAV will need to be tested.

1.3 **Aims and Objectives of this Study**

The aim of this study is to determine whether mounting a handheld spectrometer onto a UAV is a viable solution towards easier and faster radiation data collection. The specific objectives for the study can be summarized as follows:

1. Determine handheld spectrometer detection capability at different heights vs. different source sizes through stationary ground experiments.
2. Obtain accurate surface radiometric measurements along a predefined survey line, which will be used as reference measurements.
3. The reference measurements will be compared to the experimental survey making use of a handheld spectrometer and a drone. The experimental airborne survey will be conducted at various heights to determine the resolution loss on the signal with height.
4. Estimate the field of view (FOV) of the radiometric detector.
5. Process the experimental survey data and compare with the reference ground survey.
6. Investigate the effect of the UAV flight speed on the recorded radiometric data.
7. Study the effect of noise generated by the UAV on the recorded data.
1.2 Layout of dissertation
This dissertation will contain the following chapters:

1. Introduction
2. Literature
   Research about different radiometric methods, previous scientific studies, nature of radiation, radioactive elements and detection methods.

3. Study Area
   Description of the study area and test sites in terms of previous work conducted as well as geology, climate and topography.

4. Materials and Methods
   Description of project procedure and the equipment necessary for the project.

5. Results and Discussion
   Discussion of the results obtained and the applicability in geophysical surveys

6. Conclusions and Recommendations
   Discuss the conclusion that is derived from the results as well as the method analysis. Based on the conclusion and shortcomings a recommendation will be provided as to how the project can be improved.

7. References
8. Appendices
2 Literature Review

2.1 Basic radiometrics

Everything that is observed in the visible universe is comprised of endless numbers of atoms. These atoms are combined into specific arrangements to form molecules and then matter (Borgese, 1977:236). Some of these arranged elements give rise to matter that has the unique characteristic of being radioactive. Radioactivity is a phenomenon that occurs when radioactive minerals (manmade and natural) undergo the process of decay (Murray & Holbert, 2015:31–46).

Atoms consist of three components as seen in Figure 1: protons, neutrons and electrons. Protons and neutrons are found in the centre of the atoms and together form the nucleus. Electrons are much smaller and lighter than protons and neutrons and are located in orbits around the nucleus (Borgese, 1977:236). The number of protons that an element contains is known as the atomic number and is used to define the element, but it is possible for an element to vary in mass through the addition or removal of neutrons. The number of protons and neutrons is known as the mass number and represents the sum total of nucleons in the nucleus of the atom. Although the atomic number of an element is required to remain at a constant number to maintain the identity of the element the number of neutrons can differ without compromising the identity of the element. This variation in atomic mass while having a continuous atomic number is known as isotopes (Lawson, 1999:1–20).

![Electron cloud](image)

**Figure 1: The structure of the atom** (Lawson, 1999)
Some variations of elemental isotopes are unstable due to an imbalance between protons and neutrons and will undergo radioactive decay to achieve a more stable state over time; these isotopes are known as radio-isotopes. During the decaying process, the radioactive isotopes will become weaker as the decay process continues; once the element has reached a stable state it will cease to produce radiation resulting in the radioactive activity to be zero.

The activity of a radioactive element refers to the number of disintegrations within the radioactive material per unit time. The elements activity is measured in Becquerels (Bq), where 1 Bq = 1 disintegration per second.

The law of radiometric decay is an equation that is used to express the decrease of atoms situated within a radioactive element:

\[ N_t = N_0 e^{-\lambda t} \]

- \(N_t\) Number of atoms remaining after a specified time (\(t\))
- \(N_0\) Number of atom at time \(t=0\)
- \(\lambda\) The decay constant of radioactive elements

Another related constant is the half-life (\(T_{21}\)) of an element and is represented by the following equation (Erdi-Krausz & Nicolet, 2003):

\[ T_{21} = \frac{0.693}{\lambda} \]

Every radioactive element decays at a different rate and will determine the time it will take to reach a stable state. The time it takes for an element to lose half of its activity from the start of decaying is known as the radiological half-life. Some the elements only reach radiological half-life after billions of years and some only after a fraction of a second. Examples of elements with radically different decaying periods are iodine-131 and plutonium-239. Iodine-131 will reach its half-life in only eight days whereas plutonium-239 will only reach its half-life after 24 000 years.
Radioactive elements decay at an exponential rate and will experience several half-lives before reaching a stable state and becoming non-radioactive. Figure 2 depicts the exponential decay curve of carbon-14, with each half-life at 5700 year intervals (Canadian Nuclear Safety Commission, 2012).

Small elements are commonly more stable when containing the same number of protons and neutrons, but a larger element in a stable state contains a higher number of neutrons than protons (Canadian Nuclear Safety Commission, 2012). The vast majority of isotopes found on earth are in a stable state. The reason being that most of the short-lived isotopes have already decayed throughout the history of the earth. There are currently 320 different isotopes where 270 isotopes are in a stable state and 50 occurring naturally as radioisotopes.

Radioactive decay can be divided into two different forms: particle or electromagnetic radiation. Both are emitted from the nuclei of atoms into the surrounding environment. The emitted radiation can either have an ionizing or non-ionizing effect:

- Ionizing radiation is the process whereby the interaction of radiation with atoms can upset the charge and electron/proton balance of atoms. This occurs when radiation
knocks electrons out of their orbits around the atom leaving the atom in a more positive state. These electrically charged atoms and molecules are known as ions. Ionizing radiation is considered to be hazardous to humans and can be caused by natural as well as manmade radioactive minerals.

- Non-ionizing radiation contains lower amounts of energy than that of ionizing radiation and is not capable of producing ions. Examples of non-ionizing radiation include radio waves, infrared, sunlight and visible light. Television stations, global positioning systems, AM and FM radio, baby monitors and the earth’s magnetic field are other forms of non-ionizing radiation and are not considered to be hazardous to human health.

There are several different types of ionizing radiation, but the three most common forms are gamma (γ), alpha (α) and beta (β) radiation. Although these different types of radiation differ greatly from each other (as discussed further on) they are measured using the same units known as electron volts (eV). Electron volts refers to the energy that an electron obtained within a vacuum after it has been accelerated by a voltage of one volt. This unit of energy is a tiny amount and is equivalent to $1.6 \times 10^{-19}$ joules, but a more practical unit that is used for radiometrics is mega-electron volt (MeV) and is equivalent to $10^6$ eV. Even this unit is a tiny amount of energy and it would take $2.5 \times 10^{13}$ MeV to raise the temperature of one gram of water by 1°C (Murray & Holbert, 2015:31–46).

### 2.1.1 Alpha decay

During the process of alpha decay, the nucleus of the atom emits a particle known as an alpha particle. The particle is comprised of two protons and two neutrons, identical to that of a helium atom (Richards, 1981). Alpha decay occurs mostly in atoms that contain a heavy nucleus with a large proton to neutron ratio. The reason being that the nuclear forces holding the nucleus together are very short ranged. Thus when too many nuclides are residing within the nucleus, the nuclear forces will be weak between the individual nuclides and will not be able to hold them together (Lawson, 1999:1–20).

The alpha particle is a very stable configuration of particles, leaving the parent atom in a more stable state as well as reducing the proton to neutron ratio (Murray & Holbert, 2015:31–46). The alpha particle does not serve as an external threat to humans due to its limited penetrating effect and will be absorbed within 10 cm of air or the outer layer of human skin (Richards, 1981). However, when a source of alpha radiation is present within a human body the energy will fully be absorbed into the bodily tissue (Canadian Nuclear Safety Commission, 2012).
As seen in Figure 3 when uranium 234 decays two protons and neutrons are produced and form a subsystem within the uranium’s nucleus. The binding forces of the two protons and neutrons are stronger than any of the remaining binding forces within the nucleus and the alpha particle is seen as a separate particle trapped within the nucleus. The separate particle or alpha particle will tunnel through the nucleus away from the nuclear forces and will be ejected from the nucleus by Coulomb forces. The combined 230 remaining protons and neutrons within the nucleus will be identified as Thorium 230 (Hübsch, 1997).

![Alpha decay diagram](image)

**Figure 3: Alpha decay (Based on Lawson, 1999)**

### 2.1.2 Beta decay

Beta particles contain a negative charge and are identical to electrons differing only in origin. Beta particles originate from the nucleus whereas electrons are found in orbit around the nucleus. A Beta particle contains a higher penetration effect than an alpha particle, reaching a few meters before being absorbed and is capable of penetrating the skin, transferring the energy into the skin cells (Richards, 1981). Beta decay occurs when there are too many neutrons or protons within the nucleus of the atom. The decay process will transform the neutrons or protons into each other, with the aim of placing the atom in a more stable state. It is believed that an isolated proton is stable and will not decay over time. When a neutron is isolated, it is in an unstable state and will decay over a short time, reaching its halftime within
10.5 minutes (Murray & Holbert, 2015:31–46). To achieve stability within the atom, one of two beta decay processes will occur, known as beta minus and beta plus.

**Beta plus**

Beta plus decay is the transformation of a proton into a neutron by emitting a positron (see Figure 4). Unlike alpha decay, beta decay requires the emission of multiple particles to balance the radioactive process. These particles include a daughter element as well as a positron and a neutrino. The result of the positron emission is the decrease in atomic number by one due to the proton turning into a neutron, whilst the atomic mass remains constant.

A positron is the antiparticle of an electron and after being emitted will only travel a short distance before being annihilated through contact with an electron. The positron, as well as the electron, will both disappear, but the combined energy of both particles (equivalent to 511 keV) will be dispersed in the form of gamma rays.

The neutrino was first proposed in 1930 but was only verified in 1956. The purpose of the neutrino was to explain certain observations that were made within radioactive decay and to balance the energy levels (Lawson, 1999:1–20), but taking the laws of conservation into account a number of constraints had to be fulfilled for the particle to exist: The particle had to be neutral for the reaction was already balanced. The energy values of the electrons were at the allowed maximum; therefore, the mass of the particle had to be extremely small. The neutrino had to be an antiparticle to compensate the creation of the electron particle, and finally, the neutrino had to be a subatomic particle with a half-integral spin to couple the total final angular momentum to the initial spin (Loveland et al., 2006). With all these constraints it has made the neutrino particle extremely hard to detect (Lawson, 1999:1–20).

\[
\text{N} \rightarrow p + e^+ + \nu
\]

- **N**: Original atom
- **p**: Daughter atom
- **$e^+$**: Positron
- **$\nu$**: Neutrino
Beta minus decay is the conversion of a neutron into a proton by emitting an electron/beta particle from within the nucleus due to a surplus of neutrons. Similar to that of beta plus decay multiple particles are emitted during the radioactive process, but instead of a positron, an electron is emitted together with a neutrino. The emission of an electron or beta particle balances the charge of the nucleus and the neutrino balances the energy levels (see Figure 5). The result of the process increases the atomic number by one while the atomic mass stays the same. The beta minus process can be written as follows (Lawson, 1999:1–20):

\[ N \rightarrow p + e^- + \bar{\nu} \]

- **N**: Original atom
- **p**: Daughter atom
- **e^+**: Positron
- **\( \bar{\nu} \)**: Antineutrino

*Figure 4: Beta plus decay (Based on Lawson, 1999)*
2.1.3 Gamma decay

Gamma radiation differs significantly from that of Alpha and Beta radiation. Alpha and Beta radiation consists of particles whereas gamma rays are a form of electromagnetic radiation containing no charge or mass and can penetrate several hundred meters of air (Richards, 1981). Light and radio waves are also found within the electromagnetic spectrum, the difference being the energy levels as well as the wavelengths (Lawson, 2013:19–34). Gamma rays are found at the bottom of the spectrum with an average frequency of $10^{22}$ Hz and a wavelength of $10^{-14}$ meters making them shortest wavelengths of the spectrum while containing the highest amounts of energy (Richards, 1981).

Gamma rays originate from the rearrangement of protons and neutrons in atomic energy levels. As previously discussed atoms naturally move towards a stable state where protons and neutrons are in the proximity in equal numbers. If there is a more than optimal number of neutrons in the nucleus, then it would be favourable for the neutrons to convert into protons by beta minus decay. When the neutron is converted into a proton, the nucleus will be in an energized state that is reduced to a stable state by the emitting of a gamma ray.

Figure 5: Beta minus decay (Based on Lawson, 1999)
(see Figure 6). In contrast, when there is a surplus of protons in the nucleus, the protons will convert into neutrons through beta plus decay lowering the energy level of the nucleus and also emitting a gamma ray (Lawson, 2013:19–34).

The Interaction of gamma rays with matter also differs from that of the Alpha and Beta particle interactions. Alpha and Beta particles will undergo many individual interactions with matter whereas gamma rays will move through matter with only one or two interactions, if any at all. If gamma rays interact with matter it happens according to three processes: photoelectric absorption, Compton scattering and pair production (Lawson, 1999:1–20).

Compton scattering refers to the decrease of gamma ray energy levels due to interaction with electrons situated in the outer layer of atoms, absorbing a part of the gamma ray energy levels. The reduced gamma ray continues with the decreased energy levels and is still able to interact with other atoms (Richards, 1981). The amount of energy absorbed during the Compton scattering interaction varies between interactions and directly affects the exiting angle of the gamma ray. The smaller the energy reduction is, the smaller the exiting angle will be and vice versa.

Photoelectric absorption occurs when gamma rays are completely absorbed by the atom’s outer layer electrons. The complete absorption usually occurs after the energy levels have decreased due to Compton scattering (Richards, 1981).

Pair production refers to the total absorption of gamma rays with energy levels surpassing 1 MeV. During pair production, both a positron and an electron will be produced at the same time, but the positron will be destroyed after a while leaving the atomic electron to produce two gamma rays of 511 KeV. The secondary electrons will then go on to produce ionization of the material (Lawson, 1999:1–20).
2.2 Detection

2.2.1 Radiometric detector
Gamma-ray photons are neutral and do not create a direct ionization or excitation of the materials through which they pass. Thus for the detection of gamma rays, it is critical that the gamma-ray photons interact with the electron absorbing material within the detectors, allowing the material to entirely or partially absorb the energy of the gamma ray (Knoll & Wiley, 2000).

Normally a Sodium Iodide (NaI) crystal is used as the electron absorbing material within the detector; the crystal has a high density that increases the interactions of gamma rays (Richards, 1981). Alternatively, Bismuth Germinate oxide (BGO) crystals have been used as an electron absorbing material in medium-energy detection device applications. A gamma spectrometer is required to have a) a significant detection efficiency b) a good energy resolution c) a low sensitivity to the room background. The BGO crystal delivers good results on all of these requirements. Compared to the NaI the BGO crystal contains a higher density and atomic number, making the BGO crystal more efficient in interacting with gamma rays. Although the BGO crystal is more efficient, it also has its flaws. The crystal has a lower light
output due to the crystals high refraction index as well as the emission spectrum that is shifted towards longer wavelengths. Large BGO crystals also have a chance to contain air bubbles causing the light to be unevenly distributed throughout the crystal (Corvisiero et al., 1990:478–484). It is essential for the electron absorbing materials within gamma detection devices to contain a luminescent capability; whenever these crystals are introduced to a gamma ray it will interact with the crystal and produce a flash of light found in the ultraviolet range of the spectrum. The intensity of the light is measured by a photomultiplier and is converted into an electrical signal. The electrical impulse produced by the detector is proportional in amplitude to the intensity of the flash and thus proportional to the energy of the original gamma ray.

A height pulse analyzer measures the amplitude of each pulse. The measured amplitude of the pulse is then used to determine the counter or channel that will be used by the spectrometer to register the pulse. The number of channels that a spectrometer may have, varies between two and several hundred, but most handheld spectrometers contain only three channels: Thorium, Uranium and Potassium, coupled with a total count channel (Richards, 1981).

2.2.2 Environmental factors

Any material that is situated in between a radioactive source and a detector could cause alterations to the measured radiation. During aerial surveys, the increase of height has a major effect on the attenuation of gamma rays due to the interactions with suspended elements, and this needs to be accounted for.

Overburden also has an effect on the attenuation of gamma rays; dense vegetation can reduce gamma rays by 35% whilst snow cover of 10 cm will have the same effect as 10 meters of air.

The change of temperature will also affect gamma rays. Lower temperatures increase the density of air, causing higher numbers of interactions, while higher temperatures will decrease air density, causing fewer interactions.

Soil moisture can cause major alterations in gamma ray surveying. When soil moisture increases by 10% the number of gamma rays leaving the soil will decrease by 10%. Alternatively, radon particles attach themselves to dust particles that are suspended in the air. During precipitation the dust particles are brought down to the ground possibly causing uranium measurements to be increased by more than 2000%. Therefore, it is recommended that surveys should be conducted at least 3 hours after it has rained so that the abnormal surface activity can decay (Erdi-Krausz & Nicolet, 2003).
2.3 Radio Active material in gold tailings

New Machavie forms part of the Witwatersrand basin where gold mining is linked with the occurrence of radioactive elements (Wendel, 1998:87–92). The mine produced five gold bearing tailing dams, each containing fluctuating levels of radioactive material. The most important natural occurring radioactive minerals that are brought to the surface during gold mining operations are the elements originating from the uranium and thorium decay series as well as potassium (Kamunda et al., 2016:138). Uranium, Potassium and Thorium are also the only natural occurring radioisotopes that produce gamma rays that contain sufficient energy and have a high enough intensity to be measured by airborne surveys (Minty, 1997:39–50). Potassium is deemed as a crucial radioactive mineral contributing significantly to human exposure but does not fall under any decay series (Kamunda et al., 2016:138).

2.3.1 Uranium

Uranium is found throughout the earth’s crust with an abundance of 2.7 g/T. Due to the radioactivity of uranium, the element is commonly found in association with its decay products. The occurrence of uranium in gold-bearing ores would have been the result of at least three geological processes: Firstly the uranium minerals would have been concentrated into almost pure blocks of uranium, the uranium blocks would then have been weathered into a granular detrital form and finally due to gravity the weathered uranium minerals would have been deposited into reefs in the Witwatersrand basin as weathering products. The presence of water during the forming of the reefs played an essential role throughout the geological processes: Water has a significant effect on the chemical transformations of minerals as well as a physical effect. Water contributes to the weathering of rocks as well as the transportation of the weathered materials (Wendel, 1998:87–92).

The discovery of uranium in the Witwatersrand dates back to 1915, but the mineral was not considered very hazardous even with the knowledge of it being a radioactive mineral (Wendel, 1998:87–92). South Africa started extracting uranium from gold mines in 1951, but it never became a primary source of export due to the low price of uranium. The high costs of establishing and running uranium mines, competing markets and the ready availability of uranium due to the dismantling of nuclear weapons after the cold war, limited the demand of uranium (Dasnois, 2012:32).

The uranium decay series begins with Uranium 238 in an unstable state and ends with Lead 206 in a stable state. Figure 7 indicates the possible decay routes that can be followed by the decay of uranium to reach a stable state. Although there are many possible routes to achieve a stable state, only one will most likely be followed and is seen as the main route.
The route consists of 14 steps and is indicated in Table 1 along with the type of radioactive decay and the half-life duration. From these 14 steps, six of them decay through beta minus decay while the remaining eight decay by emitting an alpha particle (Arazo et al., 2016). The uranium decay series also occurs over a wide span of time periods stretching from $^{238}_{92}U$ decaying over 4.5 billion years to reach $^{234}_{90}Th$, while $^{214}_{84}Po$ will decay in a time span of 164 micro seconds to form $^{210}_{82}Pb$ (Murray & Holbert, 2015:31–46).

Table 1: Uranium decay series with half-lives and radiation type (after Grasty, 1979)

<table>
<thead>
<tr>
<th>Isotope</th>
<th>Radiation</th>
<th>Half life</th>
</tr>
</thead>
<tbody>
<tr>
<td>$^{238}_{92}U$</td>
<td>$\alpha$</td>
<td>$4.507 \times 10^9$ y</td>
</tr>
<tr>
<td>$^{234}_{90}Th$</td>
<td>$\beta$</td>
<td>24.1 d</td>
</tr>
<tr>
<td>$^{234}_{90}Pa$</td>
<td>$\beta$</td>
<td>1.18 m</td>
</tr>
<tr>
<td>$^{234}_{90}U$</td>
<td>$\alpha$</td>
<td>$2.48 \times 10^5$ y</td>
</tr>
<tr>
<td>$^{230}_{90}Th$</td>
<td>$\alpha$</td>
<td>$7.52 \times 10^4$ y</td>
</tr>
<tr>
<td>$^{226}_{88}Ra$</td>
<td>$\alpha$</td>
<td>1600y</td>
</tr>
<tr>
<td>$^{222}_{86}Rn$</td>
<td>$\alpha$</td>
<td>3.825 d</td>
</tr>
<tr>
<td>$^{218}_{84}Po$</td>
<td>$\alpha$</td>
<td>3.05 m</td>
</tr>
<tr>
<td>$^{214}_{82}Po$</td>
<td>$\beta$</td>
<td>26.8 m</td>
</tr>
<tr>
<td>$^{214}_{82}Bi$</td>
<td>$\beta$</td>
<td>19.7 m</td>
</tr>
<tr>
<td>$^{214}_{82}Po$</td>
<td>$\alpha$</td>
<td>$1.58 \times 10^{-4}$ s</td>
</tr>
<tr>
<td>$^{210}_{82}Pb$</td>
<td>$\beta$</td>
<td>22.3 y</td>
</tr>
<tr>
<td>$^{210}_{82}Bi$</td>
<td>$\beta$</td>
<td>5.02 d</td>
</tr>
<tr>
<td>$^{210}_{82}Po$</td>
<td>$\alpha$</td>
<td>138.4 d</td>
</tr>
<tr>
<td>$^{206}_{82}Pb$</td>
<td>Stable</td>
<td></td>
</tr>
</tbody>
</table>

Isotopes constituting less than 0.2 per cent of the decay products are omitted.
2.3.2 Thorium

Thorium is a heavy metal found widely throughout the earth and is viewed as one of the most vital resources for nuclear energy programs. Thorium is usually found in the minerals: Monazite, Xenotime and Bastanasite. These minerals commonly make up a sizable fraction of other elements such as aluminium, iron, lanthanides and actinides (Alipour et al., 2016:19–29). Thorium is three times more abundant than uranium and is estimated by the World Nuclear Association Website (accessed: 15 Sep 2016) to have a global joint mass of 6,355,000 tons with South Africa contributing 148,000 tons. Thorium is mildly radioactive and found in Group 3 of the periodic table containing an atomic mass of 232.0381 with an atomic number of 90 (Poole, 2004). The decay sequence as seen in figure 8 begins at Thorium\textsuperscript{232} and ends at Lead\textsuperscript{208}.

![Thorium decay series](after Koch, 2014)

2.3.3 Potassium

There are 24 known isotopes of potassium however only three occur naturally: \( K^{39}, K^{40}, K^{41} \). From the known isotopes only one is radioactive (\( K^{40} \)). The \( K^{40} \) isotope can decay through two distinct processes each reaching a different end result/element. The first decay process undergoes electron capture coupled with positron emission to produce stable \( Ar^{40} \). The second decay process is through beta emission reaching the stable state of \( Ca^{40} \). In practice radioactive potassium is used to determine the age of geological structures as well as serving as a radioactive indicator in studies of weathering. Within a human body of 70 kg
an estimated 4400 nuclei of $K^{40}$ decay per second making $K^{40}$ the largest source of natural radioactivity within the human body, topping that of $C^{14}$ (Melorose et al., 2015)

### 2.4 Previous work

The combination of radiometric detection devices with UAVs (unmanned aerial vehicles) is not a new concept and has been the centre of research in the past. However, most of these studies were conducted with the aim to produce a product that can be used during a time of crisis where the movement of humans is limited or restricted and not necessarily with the purpose of developing a fast, accurate, efficient and cost-effective method of detecting radioactivity with a commercial end goal.

In 2008, the STUK-Radiation and Nuclear Safety Authority of Finland published a study where they mounted a commercial CsI radiation surveillance system onto a small-unmanned aerial vehicle. The UAV system was a Patria mini-UAV, capable of cruising at speeds of 60 km/h at altitudes varying between 50 and 120 meters. Only one person is required to operate the easy to use UAV system from a ground control station. The UAV system constantly provided the operator with data originating from the radiation detector and provided the operator with sufficient information to supervise and assess the mission status.

The detector that was used for the study was a commercial handheld radiation detector containing a CsI probe that was set to measure the total count in cps every sec. Ground measurements were taken to be compared to the UAV measurements as a function of source-to-detector distance. The test was conducted at an airfield in Finland and aimed to measure radioactive fallout in the air. The test started at an altitude of 150 meters and decreased as the test progressed. The UAV flew over a Cs point source on the ground, which was represented by peaks in the data whenever the UAV flew over the source. The data had a strong correlation with altitude and increased accordingly with the decrease in altitude. The test concluded that the detector was capable of detecting Cs and Ir point sources on the ground, but admitted that a manned aircraft is more capable of mapping radiation fallout. The study proved to be a success when aimed towards identifying radiation hot spots for the health and safety of workers and the public (Pöllänen et al., 2009:340–344).

The Nuclear Safety Institute of Russia combined a md4-1000 UAV with a spectrometer and two Geiger Muller counters with the goal to produce a radiation survey device that could be used by rescue forces for reconnaissance in cases of an emergency. When choosing an operating platform, the UAV had to fulfil certain criteria. Firstly, the UAV had to be easy to control so that a non-professional pilot could operate the UAV; the UAV had to be reliable;
and finally, it had to be within a reasonable price range. The radiation survey device (RSD) that was used during the project was developed by the Scientific and Industrial Centrum and had to be able to operate within areas with large gamma dose intervals \((10^{-7} - 10^{-1} \text{ Sv/h})\). To reach the requirement both a Geiger Muller counter and a spectrometer had to be flown simultaneously. The spectrometer measures dose rates that range between \(10^{-7}\) and \(10^{-4}\) \(\text{Sv/h}\), whereas the Geiger Muller counters measure dose rates between \(10^{-4}\) and \(10^{-1}\) \(\text{Sv/h}\). The data analysis for the RSD was developed so that during the flight the UAV would send location points with the corresponding dose rates to the operator for immediate interpretation, but with a data packet loss of 5%. More accurate data analysis is conducted once the UAV has landed and all the radiometric data can be extracted from the RSD. The test proved to be a success and could detect a Cs point source with an activity of \(10^9\) Bq under 50 meters and a Cs surface contamination with an activity of \(10^5\) Bq/m² according to selected criterion below the height of 100 m (Bogatov and Mazny, 2013:4–6).

The Unmanned Systems Lab at Virginia Tech America developed a radiation remote sensing system in response to the Fukushima disaster. The purpose of this system was to limit the dangers for the first responders as well as to provide data to plan the actions of the response team efficiently. For the carrier UAV, they used an Aeroscout B1-100 helicopter coupled with a Sodium-iodide scintillating type detector. The detector measured the radiation count every second for the duration of the mission. The study focused on two different approaches in identifying radiation sources. The first approach focused on the localization of a point source whereas the second approach focused on generating a complete radiation map of an area. The system proved to be successful in the preliminary test, but unfortunately, no complete tests were conducted with unshielded radioactive sources (Towler et al., 2012:1995–2015).

The Geological Survey of Finland (GTK) commissioned an airborne radiometric study. RadiaOy, a company that specializes in geophysical surveys and remote sensing with UAVs, performed the study in the summer and autumn of 2016. The objective of the study was to test the applicability of a radiometric system coupled with a UAV. The test area was at a mine due to the elevated levels of uranium within the mines tailing dams. The radiometric detection system that was used for the study was a D230A spectrometer, a product of Georadis. The UAV was a custom-built quad-copter capable of carrying the 3.5 kg spectrometer for 40 min, and could move at a speed of \(10 \text{ m.s}^{-1}\).

Prior to the test, a measurement integration time of five seconds was chosen for the sampling time. It was based on the UAVs movement speed during the flight (3-5 m.s). The movement speed had to exceed that of a walking person (1.4-2 m.s) to make it a more
efficient technique. Initially, the spectrometer was placed 20 cm above the ground for 60 seconds to produce a base reading which was then compared to the measurements from the spectrometer attached to the UAV at an altitude of 5 meters.

Initial comparison of the data indicated that the intensity of the measurements taken 20 cm above the source was ten times greater than the intensity measured at an elevation of five meters. The data measured at an elevated altitude was normalized and resulted in a higher intensity than the ground measurements. The examiners described the intensity as a result of overlapping material. The field of view of the elevated spectrometer included an area that contained the peak radiometric anomaly of the tailings, increasing its intensity.

Data analysis was done through the use of GammaPros software and started by

- Combining all detectors values to produce the total yield.
- Merging four adjacent channels to produce 256 channel data.
- Normalizing the total count utilizing the time of measurements.
- Calculation of X and Y coordinates
- Compute and correct coordinates due to spectrometer movement.
- Determination of flight time and profile distance
- Store data in a format that is suitable for mapping as well as the combined 256 channel spectra.

The data produced various total intensity images where some exhibited similarities between them reflecting the geological background of the area, while others were not as clear. The total intensity of gamma radiation indicated similarities, whereas uranium indicated some similarities. Intensity maps of thorium and potassium were not as clear, questioning the reliability of the measurements.

The objective of the study was to determine whether the use of a UAV system is a viable substitute for walking and meant that many of the correction methods that are normally used should be absent. The increase of height as well as variations were not taken into account and could be the biggest defect of the survey. The height determination of the UAV wasn’t accurate due to the laser altimeter influencing the UAVs stability and the use of outdated digital terrain models during the flight. Despite the restrictions, the study concluded that a UAV coupled with a radiometric detection device could serve as a feasible method for the mapping of total radiometric intensity (Pirttijärvi & Oy, 2016)
All of the previous studies concluded that the use of a UAV as a detection platform is a plausible alternative towards measuring radioactivity. However, differing from this study, most of the previous studies were conducted with the aim to identify hotspot areas for times of crisis and not for commercial use where accuracy is essential. The intensity of the radiation may also differ from those of the previous studies. Because most of the previous studies were primarily motivated to be used during times of crisis the amount of occurring radiation will vary from the radiation that is to be found in nature or in tailing dams. The study done by the Geological Survey of Finland proved that a UAV can be used over tailing dams, but at a limited altitude. Unfortunately, the study did not account for accuracy and solely focused on the possible usage of UAV technology for radiometric surveys. The current study will focus on not only the usability of UAV systems, but also the accuracy of the measured data.

2.5 Data Representation
Gamma-ray spectrometry data can be represented in a variety of ways that allows interpretation of grids and radioelement profiles. Before the digital era (the 1980s) gamma data was presented using contour maps and profiles. These methods still offer advantages that makes them a viable representation method in today’s world. However, the progress of digital technology provided a new platform to present data that benefits from digital image processing techniques. Some of these techniques can be thought of as routine methods to be used for most gamma representation. However, there is no universal technique that can be applied to all gamma-ray mapping applications. Experimentation with different techniques is vital to establish a method that is most suitable for specific requirements.

Before gamma-ray data can be represented, it has to be converted to a coordinate system, map datum or map projection. By converting the data, we can accurately plot the data according to its location on the earth. The conversion of data became important after the integration of Global Positioning Systems (GPS) into geophysical surveys. Before GPS systems were introduced the positioning of surveys was estimated by referencing aerial photographs. The accuracy of these surveys was poor when compared to surveys done in modern times. A GPS uses a variety of geocentric datum systems (Such as World Geodetic System 1984) to estimate its position. Geocentric systems function on the concept that the centre of the earth represents the true centre of gravity and plots position accordingly.

Representing radiometric data is commonly done through the use of one or multiple methods. These methods include scatter plots, profiles, contour maps and grids (Erdi-Krausz & Nicolet, 2003).
2.5.1 Scatter plots

Scatterplot graphs are the most useful way to display a relationship between two quantitative variables. The values that represent one variable appear on the horizontal axis. The values that represent the other variable are placed on the vertical axis (Moore et al., 2009). When working with radiometric data, scatterplot graphs are a useful method to analyze the inter-relationships between radioelements and to identify clusters and trends in the data. However, the increase of radioelement concentration will result in greater scatter on the graphs. This is because the error in the raw counts of radiometric data is Poisson distributed, such that their amplitude increases with the square root of the detected count rate (Erdi-Krausz & Nicolet, 2003).

2.5.2 Profile data representation

Profile data representation is commonly used for airborne spectrometric surveys. The use of a profile representation is beneficial because it enables the interpreter to display the data at full spatial resolution. Arithmetic combinations of radioelement channels and one-dimensional filters can be used to enhance anomalies or reduce noise within the profile.

Profile plots can be stacked to provide the interpreter with visualizations that allow the interpretation of inter-relationships between radioelements. The lithology of the study area is commonly presented with stack profiles enabling easy analysis and correlation between the anomalies and the lithology.

Multiple profile lines can be positioned next to each other to produce a single map. Each of the profile lines represents a flight line that is referenced by (x,y) coordinates. However, the height of the measuring equipment must be taken into account to prohibit the overlapping of individual profile lines. The use of multi-profile line maps is extremely beneficial when anomalies need to be identified (Erdi-Krausz & Nicolet, 2003).

2.5.3 Contour maps

In the past when an airborne survey was conducted the data was drawn by hand in the form of contours. The quality of the drawing was directly influenced by the skill and experience of the draftsman and could only be done by specialists. During the 1960s computer-based contour maps replaced the hand-drawn maps and although image presentations of airborne geophysical data is far more popular in modern times, the use of contour maps has not completely been replaced. Contour mapping requires little space for storage and is sometimes preferred when amplitude values need to extracted from data. The use of contour maps is also advantageous when an undistorted presentation of an anomaly shape is required.
Contour maps are produced by dividing the measured area into a grid. All the values within every grid block are interpolated at a predetermined contour interval. Once the interpolation is complete, the contour lines are drawn by connecting interpolation points that share similar values. To prevent the contour lines from being ragged, the grid sizes need to be small in comparison with the overall map size. The smaller the individual grids, the smoother the lines will appear to be and vice versa (Erdi-Krausz & Nicolet, 2003).

2.5.4 Gridding
Gridding is the interpolation of data based on a mesh covering a surveyed area, each grid node within the mesh contains a value. The data points that are captured within each grid node determine the value of the node. The number of data points obtained within a node is not necessarily the same number as the node next to it. Once the value of a node has been established a contour can be generated between two nodes situated next to each other. Alternatively, a rendered picture can represent each node without generating a contour.

Gridding can be represented by a variety of algorithms, but not every algorithm is suited to represent airborne surveys. A suitable algorithm should be able to maintain the values that have been assigned to the nodes while providing a smooth transition between them. An example of an appropriate algorithm for gamma-ray spectrometric data is Kriging:

Radioactive decay has a random probability distribution that can be statistically analyzed but cannot be precisely predicted. Kriging is an interpolation technique that assumes that the direction and distance between the radiometric data points reflects a correlation that explains a variation in the surface. The Kriging algorithm fits a mathematical function to data points within a specific radius to determine an output value for each location. Kriging is commonly used in soil sciences as well as geology but should be suitable for gamma-ray spectrometric data (Erdi-Krausz & Nicolet, 2003).

2.6 Data sampling rates
During any elevated radiometric survey, the speed and altitude of the aircraft/UAV, as well as the sampling time of the detector, are important influencing factors when accurate data is a necessity. It is suggested that after the spatial wavelength or exploration sample size has been established, the altitude of the aircraft/UAV is optimized at twice the spatial wavelength. Based on this it is then possible to select from several combinations of sampling times and speeds represented by:
\[ \Delta t = \frac{h}{4V} \]

- \( \Delta t \) Sample time in seconds
- \( h \) Selected altitude in meters
- \( V \) Aircraft's or UAV's speed in meters per second

After the combination has been determined and the UAV has been selected, the size of the detector must be selected to produce viable counting statistics.

When the size of an anomaly is required, the anomaly can be thought of as a wavelength. Therefore, the equation for determining the minimum wavelength of an anomaly is represented by:

\[ \lambda_m = 2V \Delta t \]

- \( \lambda_m \) Anomaly size or minimum wavelength
- \( \Delta t \) Sample time in seconds
- \( V \) Aircraft's or UAV's speed in meters per second

When measuring count rates an acceptable standard deviation is said to be \( \pm 10\% \) (Killeen, 1979:163–230)

### 2.7 Data Analysis

There is no single universal strategy that correctly interprets gamma radiological data, but it is a process that has been influenced by various contributing factors throughout a survey. The quality of data, survey area, and the purpose and scope of the interpretation significantly affect the analysis of gamma rays.

Geographic Information Systems are now able to support the analysis and interpretation of gamma radiation using image processing techniques. The enhancement techniques of these systems are intended to assist visual interpretation and are a useful method to support radiometric data analysis. Some of these techniques include mean differencing, principle component analysis and regression that allow the interpreter to enhance small variations that would have gone otherwise unnoticed. Other capabilities such as pattern recognition
use edge detection, classification and cluster analysis to automatically identify anomalies and radioelement units.

- Annotation of unit boundaries

After the gridded radiometric data has been enhanced, a GIS system can be equipped to display the raster images and manually interpret the boundaries of distinct units and display them as vector polygons.

- Mean Differencing

Analyzing the radiometric elements (K, Th and U) within each interpreted unit will allow information to be extracted from the data that is not immediately visible. The simplest of analysis methods is to investigate the deviations from the unit means. Thorium, Uranium and Potassium’s mean concentrations are determined for each unit and are subtracted, leaving the residuals that are then imaged. If a large deviation occurs, it could be an indication of geological processes (weathering, otherwise magma diversity) or it could be an error that occurred during the mapping of unit boundaries.

- Regression analysis

The effects of geological processes within the units can be removed by subtracting regression models that were developed by forming single or multiple linear regression models based on the estimated radioelement concentrations.

Classification techniques are based on pre-defined meaningful classes that have been identified with respect to ground observations. The deciding rules for the distribution of these classes are based on sample sites that are considered to be representative of the classes. The use of classification techniques has been widely applied for the analysis of multispectral image data originating from satellites orbiting the earth.

Figure 9 represents one of the many possible variations to accurately interpret gamma spectrometric data. Based on Figure 9 the first step to interpreting gamma data is to emphasize the overall change that occurs within the radioelement concentrations. Pseudo-color coding, ternary mapping, contrast stretching and gradient enhancement are all techniques that will contribute to the completion of the objective. Whenever gamma radiation is masked by environmental factors such as water or overlaying material, the use of arithmetic combinations, such as sum-normalized and ratios, can be used between different data points to remove inconsistencies in the radiation response.
Multiple enhancements should preferably be used to determine all relevant features since no single enhancement can adequately describe all relevant features (Erdi-Krausz & Nicolet, 2003).

The second step in the data interpretation is to outline all radiological anomalies as well as areas that share similar data values. To substantiate the outlining of the radiological data requires the use of the total count grid gradient that may be associated with the boundaries of geological structures or soil units.

The application of principle component analyses, regression, or mean-differencing on each of the outlined units will identify anomalies and enhance the gamma-ray responses against the background variations. Based on the analysis of the data, the annotations of the unit boundaries can be more accurately refined. Alternatively, by using pattern recognition techniques, such as supervised classification and clustering, anomalies and units can be identified.

The final step requires the integration of geological data into the gamma interpretation as well as all other relevant information. To produce a visual interpretation, Geographic Information System software can be used to display the radiometric data, topography, geology, unit boundaries and anomalies as layers over each other.

When viewing the display results, special attention must be given to the topography and the geomorphology of the area. The relation of these two layers governs the control and the distribution of radiological elements. Data measured from material that has been transported through human activities must be separated from data measured from bedrock formations.
In addition, when interpreting radiological data the interpreter must be aware of the environmental factors that may influence the data accuracy; these include soil moisture content, vegetation, alluvium and different weather exposures that can affect the data from a homogeneous geological unit.

Whenever an important element shows an anomaly, which has a questionable source, the anomaly must be checked by employing ground truthing. Ground truthing entails that the source position of the anomaly must be checked by a radiometric detector on the ground. The importance of ground truthing is enhanced by accurately displaying small areas of
radiation inconsistencies whereas areal measurements may indicate the same area as a homogenous radiation zone. When areal measurement indicates an anomaly, ground truthing can be conducted by employing a handheld spectrometer (Erdi-Krausz & Nicolet, 2003).

2.7.1 Height correction

During airborne surveys, the height of the detector changes constantly, possibly influencing the gamma data. To compensate for the change in height the data needs to be corrected to an insignificant height difference. Different heights that are typically reached during survey flight can differ exponentially from each other. The following equation is used to determine count rates at a nominal survey height:

\[ n = n_0 e^{-\mu(H-h)} \]

\( \mu \)  
Attenuation coefficient (per meter)

\( n_0 \)  
Observed count rate at STP height \((h)\)

\( n \)  
Corrected count rate for the nominal survey terrain clearance \((H)\)

This equation is suitable to be used in testing areas with an infinite source, areas with homogenous topography and an altitude range between 50 and 250 meters (Erdi-Krausz & Nicolet, 2003).

2.7.2 Circle of investigation

To accurately determine the counting rates of a test area the number of particles that cross a certain point per unit time, also known as the fluence rate, has to be converted to a count rate. The conversion requires knowledge about the detection cross-section of the detector at a specified energy as well as the detector’s angular response. These requirements are especially important when working with detectors that measure according to asymmetrical geometries, such as the one used during this study.

The detection cross-section, also known as the circle of investigation, refers to the volume of material that is measured directly underneath the detector during an aerial survey. As the altitude of the detector increases the size of the circle of investigation increases accordingly (as seen in Figure 10) but at the cost of signal intensity. During the measurement of a
homogenous infinite source, the increase of elevation will reach a point where no further practical increase in intensity will occur.

The topography of the surrounding area can have a major influence resulting in inaccurate measurements. To quantify the variations in topography Allyson, 1994 developed a numerical formulation to compensate for the change in topography based on the most commonly found geometries. However, this study was conducted on a mostly homogenous source and requires no alterations according to topography changes (Allyson, 1994:1–293).

![Figure 10: Circle of investigation at different altitudes. (Allyson, 1994)](image)

### 2.7.3 Edge detection

Horizontal gradient magnitude (HGM), also known as an edge-detection filter, is an analysis method used to help interpret gridded data sets as well as line-based profiles. The use of this method allows the interpreter to identify anomalies in data that contains steep declines. The analysis is commonly used in identifying gravitational anomalies, but can also be used to analyse radiometric data. Horizontal gradient magnitude is represented by the following equation (Beamish, 2016:75–86):

$$ HGM = \sqrt{(dx)^2 + (dy)^2} $$

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$HGM$</td>
<td>Horizontal gradient magnitude</td>
</tr>
<tr>
<td>$dx$</td>
<td>$x$-derivative</td>
</tr>
<tr>
<td>$dy$</td>
<td>$y$-derivative</td>
</tr>
</tbody>
</table>
3 Study Area Description

3.1 Background information

3.1.1 Location and History

The New Machavie mine is a gold mine situated near the town of Potchefstroom in the North West Province (Figure 11). The mine was active for 40 years extracting gold from the Black Reef formation until the 1940s. During the excavations, the mine unearthed a total of 5147 kg of gold (Bever, 1997) and produced five tailing dams with a combined mass of about 2.5 million tons of processed materials (Aucamp, 2003:1–60).

Over the 40 years active period the New Machavie mine was operated by several companies reaching a combined mining depth of 150m. The Black Reef quartzites were mined in stretches from the northeast towards the southwest, dipping at an angle of 8–10° in the southeast direction. Basal conglomerates up to 6.5 meters thick were mined by making use of three trending channels spanning from the northeast towards the southwest (Bever, 1997).

![New Machavie location](image)

*Figure 11: New Machavie map location*
3.1.2 Climate

South Africa is known as a semi-arid to arid country receiving an average rainfall of 500 mm per annum, well below the world average of 860 mm. Furthermore, the rainfall is unevenly distributed throughout the country with 65% of the country receiving less than 500 mm and 20% receiving less than 200 mm of rain (Annandale & Nealer, 2011). Potchefstroom receives the most rain during the summer periods. The Geography Department at the North-West University measured the last 30 years of monthly rainfall which is represented in Figure 12. On average, the Potchefstroom area receives an annual precipitation of 564 mm.

![Monthly rainfall](image)

*Figure 12: Potchefstroom monthly rainfall*

Potchefstroom has a yearly average temperature of 16.9 °C, with the hottest temperatures occurring during January, averaging 22.2°C. The coldest temperatures of the year occur during June, with an average of 9.6°C.

3.1.3 Geology

The New Machavie mine is situated in an area underlined by a variety of carbonic and sedimentary rocks (see Figure 13) including limestone, dolomite, shale, quartzites. All of these rock types form part of the Ghaap-Taupone-Chuniespoort Group also known as the Malmani-Campbellrand carbonates (Figure 14), which is a subgroup of the Transvaal Supergroup (Eriksson & Altermann, 1998:179–188). The Transvaal Supergroup contains a
mixed siliciclastic-carbonate ramp formed during a period when the area was covered by a shallow-water shoreline or lagoon resulting in a low gradient slope (Wright & Burchette, 1998:1–5). The ramp grades upwards into a vast carbonate platform that is being overlaid by a banded iron-formation. The supergroup was deposited onto the Kaapvaal craton consisting of gneiss, granitoids and greenstone belts (Summer & Beukes, 2006:11–22). It is believed that the Transvaal Supergroup is one of earth’s first major carbonic platforms (Schröder et al., 2006:23–54).

The Malmani Subgroup is divided into five formations (Oaktree, Monte Christo, Frisco, Eccles and Lyttelton) and is differentiated based on the chert content, stromatolite morphology and the shale and chert-breccia horizons (Dirks & Berger, 2013:109–131). These formations contain a variety of sequences, each representing periods where the Kaapvaal Craton was submerged by water. There is a total of 12 sequences, each varying in thickness with most sequences containing a variety of widespread carbonic rocks (Summer & Beukes, 2006:11–22).

The New Machavie area contains only two of the five formations, with the Oaktree Formation being the oldest followed by the Monte Christo Formation (Anhaeusser et al, 2006). The Oaktree formation has been estimated to have been deposited about 2588 million years ago. The lower part of the Oaktree Formation forms part of the first geological sequence together with lowermost Reivilo Formation, and the upper Blackreef Formation (Summer & Beukes, 2006:11–22). The thickness of the Oaktree formation varies between 100 and 150 meters and is deposited on top of the Black Reef Formation. The Black Reef Formation is comprised of quartzites and conglomerates and is a point of interest for mining companies due to its gold-bearing ores. The Oaktree Formation consists of chert-poor dolomitic rocks interbedded with shale layers thinner than 2 meters. The shale layers are carbonaceous and contain two tuff layers thinner than 30 cm near the stratigraphic top (Dirks & Berger, 2013:109–131).

The second geological Formation that divides the New Machavie area is the Monte Christo (Anhaeusser et al, 2006). The Monte Cristo Formation is a stromatolitic dolomite Formation, rich in chert and contains oolite beds near its base. The Monte Cristo Formation like the Oaktree Formation is interbedded with a thin shale layer thinner than 50 cm. The Formation also contains a 5-meter thick layer of sheared-breccia in a dolomitic matrix (Dirks & Berger, 2013:109–131). The upper part of the Oaktree Formation together with the entire Monte Cristo Formation and the middle Reivilo Formation make up the two to five geological sequences (Craton et al., 2006:11–22).
Figure 13: New Machavie's geology composition
Figure 14: Distribution of the Malmani Subgroup
3.2 Site selection

New Machavie was identified as an appropriate study area due to previous studies conducted by North West University allowing for easy access. The New Machavie area contains several tailing dams and selecting an appropriate tailing dam is based upon the radioactive count emitted by the tailings, as well as the accessibility and condition of the tailing dam’s surface. Tailing dam T1 was initially selected to be used during the study but was rejected due to the surface being inconsistent, containing individual tailing heaps on top of the surface of the tailing dam, potentially producing inconsistent data. The tailing dam also produced less radioactivity than the newly selected tailing dam. The new tailing dam T2 is situated 280 meters South-West of T1 as seen in Figure 15. Although the tailing dam is smaller in the overall surface area than T1 dam, it has a similar width of about 500 meters.

Figure 15: Google earth view of New Machavie
4 Materials and methods

4.1 Method of measurement

4.1.1 RS-230 Spectrometer

The detection device that was used during this study was an RS-230 handheld spectrometer, a product of Radiation Solutions Inc. The RS-230 contains 103 cm³ bismuth crystal rather than a Sodium-Iodine crystal. In comparison to Sodium-Iodine, a Bismuth crystal has a higher density allowing more interactions with electrons, as well as gamma rays resulting in a higher absorption percentage. The 103 cm³ bismuth crystal delivers 80% of the performance of a 390-cm³ Sodium Iodide crystal. Bismuth crystals are more commonly used within larger portable detection devices and are three times more powerful than a Sodium Iodide crystal of the same size (Knoll & Wiley, 2000:816). The RS-230 has two detection modes: survey and assay. The survey mode measures the sum total of all disintegrations that occur in the surrounding area and is represented as the total count at a rate of one measurement per sec. The assay mode measures the amount of potassium (K), Uranium (U) and Thorium (Th) that is present in the area. Uranium and Thorium are measured in parts per million whereas Potassium is measured as a percentage. The detector also contains a 1024 radiometric channel coupled with a strong processor.

4.1.2 Infotron IT 180 mini UAV

The IT180-120 Unmanned Aerial Vehicle (UAV) that was used during the experiment is a product of Infotron. The gasoline-driven IT180 was designed to be operated in extreme weather conditions including heat, 60 km/h wind gusts, rain, snow and can be operated during the day or night. The UAV has a flight time of 120 min and can carry a payload of up to 5 kg. The working temperature of the UAV ranges from -10°C up to 40°C and the UAV can reach a maximum speed of 70 km/h. The UAV is currently used by many industries around the world, as well as firefighters in France.
4.2 Methods applied

4.2.1 Field of view estimation

As previously mentioned, the field of view (FOV) or circle of investigation is the area that is observed by the radiometric detector during a survey. Knowing the field of view is crucial for establishing the flight lines and determining the fluence rates. This will ensure that the smallest number of lines will be flown to optimize the survey time and save money. The key to elevated radiometric measurements is to find a balance between accuracy and efficiency. If the surveyed area is too large, meaning that the UAV is too high, the data quality will be dramatically reduced, possibly missing anomalies. However, if altitude and quality are balanced the measurements will be fast and efficient, at a minimal cost to accuracy.

To calculate the RS 230 spectrometer’s FOV, an experimental test was developed to find the maximum reach for the spectrometer’s detection radius.

The experiment started by identifying an area that contains a low homogenous radiometric count rate as a testing platform. The spectrometer was connected to a pole marked with three height intervals (1, 1.5 and 2 meters).

Initially, a control was established by measuring the radiometric decay of the area with no radioactive source present. The control consisted of three measurements, one at each height interval and the spectrometer was left for three minutes per height.

Once the control was established, a radioactive source was introduced and placed 12 meters away from the pole. After 2 minutes, the radioactive source was moved one-meter closer to the pole. After 24 minutes, the radioactive source was directly underneath the spectrometer as seen in Figure 17. After the 2-minute measuring period, the spectrometer was moved again, but this time in the opposite direction, increasing the distance away from the spectrometer after every 2 minutes. The spectrometer remained fixed at a predetermined height and wasn’t moved until all required measurements were taken for the specific height. Twenty-five measurements were taken in total per height interval, with 12 measurements measured on each side of the spectrometer and one measurement taken directly underneath the spectrometer. Figure 16 illustrates the experiment using sketches.
Once the data had been collected and plotted, data analysis techniques were applied to determine the angle at which the spectrometer observes the surrounding area. The Horizontal gradient magnitude analysis technique was used and is represented by:

\[ HGM = \sqrt{(dx)^2 + (dy)^2} \]

- **HGM**: Horizontal gradient magnitude
- **dx**: x-derivative
- **dy**: y-derivative
The horizontal gradient magnitude provides values representing the gradient of the plotted values. The representative values were used to identify a distance point on the x axis where the values dropped dramatically indicating the edge of the spectrometers detection range.

4.2.2 Effect of source size on measurements

As previously discussed, an increase of altitude increases the field of view as well as decreasing the accuracy of the measurements. Due to the decrease in accuracy, some anomalies that are situated within the field of view will be blended in or overshadowed by the background noise.

Thus, a test was conducted to determine the loss of accuracy with the increased field of view. The purpose was to identify the height at which the background data would overshadow a predetermined amount of radioactive source.

The test was conducted by measuring the amount of radiation emitted from a fixed source at different height intervals. The test was repeated four times, with varying amounts of radioactive material. The setup of the test consisted of a 2-meter pole with 20 cm height intervals. The purpose of the pole was to keep the spectrometer centred above the radioactive source and to serve as a height indicator. The spectrometer was mounted on a frame that was fastened to the pole. The position of the spectrometer was maintained by fastening the frame holding the spectrometer onto the pole with a clamp. The radioactive source was placed within three different containers each varying in size (seen in Figure 18) and would be filled to a height of 4 cm ensuring a constant depth of the samples then differing only in radius and volume (0.0167 m³, 0.005 m³, 0.001 m³).

The starting point of the spectrometer was directly above the radioactive source; the spectrometer would then measure the emitted gamma rays over a period of five minutes. After five minutes the spectrometer would stop recording and be raised by 20 cm and held in a position where the next measurement would be taken. The process was repeated until the spectrometer reached a height of 1.8 meters producing a total of 10 measurements. Once the 1.8-meter mark was reached, a smaller container would then replace the original container (shown in Figures 19 to 21), and the experiment would then be repeated. Control measurements were taken in the same way as the rest of the tests, but were not influenced by any external radioactive source. Figure 22 displays the setup for the different sample sizes as well as the control measurement.
Figure 18: Spectrometer with smallest container

Figure 19: Container sizes

Figure 20: Spectrometer with largest container

Figure 21: Spectrometer with second largest container

Figure 22: Vertical activity loss test setup
The data resulting from the measurements was graphed and a function was established for each of the four different measurements. The same function was used for all four of the graphs, but varied based on each of their constant variables. The basic formulation of the function is as follows:

\[ y = a - cb^x \]

The use of the function together with the field of view results from the previous test can accurately determine the loss of activity.

4.2.3 Activity loss measurements with elevation.

After the measuring angle of the RS230 spectrometer was established it could then be used to calculate to the loss of accuracy due to the increase of the measuring area. This is especially important when working with aerial surveys. The loss of accuracy due to the interactions between gamma-rays and particles suspended in the air causes a major loss in the number of measured gamma-rays.

To determine the loss of activity a test was designed to measure the activity loss at a single location on top of a tailing dam (see Figure 23). The spectrometer was attached to the underside of the Infotron 180 UAV (see Figure 24). The detector was turned sideways to make the screen, as well as the button of the spectrometer, accessible to operate. Turning the spectrometer on its side did not affect the accuracy of the spectrometer due to the bismuth crystal being susceptible to radiation from all sides.

The protocol for the test entailed that the UAV would be flying for 5 minutes at seven different altitudes: 20, 15, 10, 5, 2 meters above the tailing dam. The spectrometer used the "on the fly" measuring technique, implying that a total count would be measured every second.

The flight plan consisted of a base point that served as the location that would be used by the UAV to take off as well as land. It also functioned as an emergency return point in the case of low fuel or other internal as well as external complications. Figure 25 depicts the UAV test setup over the tailing dam.
Radiometric data measured at a fixed location only differing in height, can accurately be used to correct the measured gamma data by applying the height correction function.

\[ n = n_0 e^{-\mu(H-h)} \]

A more complex function is available, but due to the low measuring altitude and the homogenous terrain, the use of the above equation will deliver accurate results (Erdi-Krausz & Nicolet, 2003).
4.3 Measurement simulations

A detailed survey measuring every second for 210 seconds was conducted at 1 m intervals. The survey was done by using a 6-meter PVC pole marked at different heights of 1, 3 and 5 meters (see Figure 26). Measurements were taken in a straight line along the centre of the tailing dam, the meter intervals were measured through the use of a distance measuring wheel seen in Figure 27. Each of the three height intervals recorded data for 210 seconds. The spectrometer was mounted on a frame that allowed the least amount of influence by the PVC pipe. The pole was fixed at a predetermined location by using three ropes connected to the top of the pipe and then secured into the tailing dam using tent pins. The spectrometer was connected through Bluetooth to a Garmin 10 GPS, logging its position.

The stationary pole test provided detailed results but didn’t represent a moving UAV. During an aerial survey, the data that is measured would not be as detailed as the stationary pole test. When a UAV moves over an area the spectrometer will only be able to take a few measurements to represent the area. If a UAV moves at a speed of 1 m.s⁻¹ then the spectrometer will only have one measuring point per meter and if the UAV's speed increases the number of measurements decrease, lowering the accuracy.
Thus, the next test was conducted to simulate a UAV moving at a speed of one meter per second.

The 6-meter pole was fastened onto a frame which was strapped onto an operator as seen in Figures 28 and 29. The spectrometer was mounted onto the pole at three height intervals: 1, 3 and 5 meters.

Once the spectrometer started recording, the operator walked with the pole on the same line as the stationary pole test. The line was marked with metal pins every 10 meters. To maintain a constant speed of $1 \text{ m.s}^{-1}$, the operator took 10 seconds to reach the next metal pin. The test started at the 5-meter height interval and the operator walked the line four times before lowering the spectrometer to the next height interval.

4.3.1 Elevation effect

No provisions were made to compensate for changes in elevation for the purpose of this study. The fact that a UAV can fly at low altitude and mimic the surface topography in its elevation profile, does not require elevation correction. Therefore, the instrument is used as intended for a pseudo ground survey where the operator also follows the elevation profile.
Height correction formulas focus primarily on aerial surveys done at altitudes of 50 meters and higher. More sophisticated formulas emphasize areas with rugged topography as well as the effects of varying air temperatures and air pressure. Both of these aspects largely influence the attenuating properties of air (Erdi-Krausz & Nicolet, 2003).

However, according to Gsenviro the ratio between altitude and temperature is 6.5 degrees per kilometer, making it an insignificant change at an altitude of 5 meters (Gsenviro, 2015). The RS 230 spectrometer also contains a built-in temperature calibrator to adjust to any changes in temperature.

### 4.3.2 Velocity effect

One of the factors that influence the measuring of count rates during an aerial survey is the speed at which the aircraft or UAV is moving (Minty, 1997:39–50). Gamma data measured by a spectrometer is commonly required to be measured at a rate of one meter per second. During the one-second measuring period, the aircraft would have moved at a speed of 200 kilometers per hour covering 55 meters along the measuring line, leaving “gaps” in the data. This is a typical occurrence in aerial surveys and is adjusted by introducing data reduction procedures. These procedures require that the gamma data is summed up over a longer period of time in order to reduce the fractional errors associated with each channel count, but the results are represented in one-second intervals (Erdi-Krausz & Nicolet, 2003).

### 4.3.3 UAV measurement

The final drone test was conducted by strapping the RS 230 Spectrometer onto a custom build octocopter from UAV industries as seen in Figure 31. The flight path of the drone was across the same line as the stationary and moving pole measurements, but was lengthened to fly across the entire tailing dam. The octocopter flew at a height of 5 meters above the tailing dam as shown in figure 30. The height was maintained through the use of an onboard barometer as well as GPS. The UAV flight plan was loaded onto a laptop that monitored the UAV’s movements during the flight. The UAV flew at a constant speed of 1 meter per second and covered a distance of 350 meters of which 110 m was over the side of the tailing dam.
Figure 30: UAV test flight at 5 meters

Figure 31: Custom Octocopter
5 Results and discussion

5.1 Field of view

The field of view data is represented by three graphs, each representative of a different height interval (test shown in Figure 17). The x-axis of the graphs depicts the station of the radiometric source, with zero being directly underneath the RS230 spectrometer while stations -12 and 12 represent a distance of 12 meters away from the spectrometer in opposite directions. The y-axis represents the measured data given in counts per second (CPS), while the z-axis represents the horizontal gradient magnitude. Each graph can be divided into two parts, with the first part displaying the measured data while the second part displays the results of the horizontal gradient magnitude.

Measured data

The measured data includes the averages as well as the minimum and maximum values for each station. The solid black line in Figures 32 to 34 represents the averages while the minimum and maximum values are displayed as the grey area surrounding the average line.

Horizontal gradient magnitude

The HGM is determined for every station and is represented by the yellow bar graphs. Determining the HGM required the use of the HGM formula:

\[ HGM = \sqrt{(dx)^2 + (dy)^2} \]

- \( HGM \) Horizontal gradient magnitude
- \( dx \) The difference in distance between the next and the previous station position
- \( dy \) The difference in CPS between the next and previous station position

5.1.1 Field of view results

The measurements obtained with the spectrometer elevated to one meter is represented by Figure 32. The averages remain at a relatively constant level of 105 cps until it reaches stations -3 and 3. From there the cps starts to climb and rapidly increases towards the maximum value of 155 cps at station 0. The minimum and maximum values fluctuate to an extent as expected, but remain reasonably constant.

The HGM values follow the same trend as that of the measured data remaining mostly constant until reaching stations -3 and 3. After stations -3 and 3 the HGM values increase rapidly until reaching the zero position. By using the HGM values the edge of the field of view can be pinpointed, by identifying the station where the HGM values increase the most.
Based on Figure 32 we can identify the edge position as station -2 and 2, resulting in an edge value of two.

Figure 32: Field of view determination for a height of 1 meter

Figure 33 represents the measurements taken at an altitude of 1.5 meters. The measured values remained at a constant cps of 105 until reaching station -3 and 3 from where it increased toward the maximum value of 125 at station zero. The increase in the cps values were less than the measurements taken during the first test. This is an expected result, due to the increase in altitude the interaction with air particles will increase accordingly resulting in lower gamma-ray measurements. Similar to the previous test, the minimum and maximum values vary from each other, but still remain relatively constant.

Due to the subtle decrease of cps the HGM values will be more difficult to differentiate from each other. As seen in Figure 37 the HGM values are not mirrored on both sides of the zero station. However, the biggest increase still remains at station -2 and 2 giving an edge value of 2.
Figure 34 represents the measurements taken at an altitude of 2 meters. Similar to the previous test the increase of height reduces the measured gamma-ray activity. The measurements at the 2 meters altitude indicate only a slight increase in cps. The left side of station zero indicates almost no increase in cps until it reaches station -1, whereas the right side indicates a slight increase of cps at station 4. The minimum and maximum values still remain constant, but the maximum measurements from station 12 and -12 almost reach the same cps values as that of the station zero, indicating that the radioactive source has almost been completely blended into the background noise.

Due to the lack of increase on the left side, the HGM value is scattered, making it unreliable. However, the right side indicates a high increase at station 3. Due to the unreliability of the left side, only the right side will be taken into account when determining the edge value. The HGM of the right side indicates the biggest increase to occur at station 3 resulting in an edge value of 3.
Determining the field of view for each of the previous graphs requires the use of their edge values respectively. The edge value represents the station where a drastic increase in HGM occurred indicating the maximum reach of the spectrometer observation area. Thus, the observation radius of the spectrometer can be determined by subtracting the source radius from the edge value (shown in Table 2).

Table 2: FOV observation radius

<table>
<thead>
<tr>
<th>height</th>
<th>source radius</th>
<th>edge value</th>
<th>FOV observation Radius (meter)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>0.13</td>
<td>2</td>
<td>1.87</td>
</tr>
<tr>
<td>1.5</td>
<td>0.13</td>
<td>2</td>
<td>1.87</td>
</tr>
<tr>
<td>2.0</td>
<td>0.13</td>
<td>3</td>
<td>2.87</td>
</tr>
</tbody>
</table>
By using the inverse of $\tan$, the angle at which the spectrometer observes the surrounding area can be determined. The two distances that are used for the trigonometry formulation are the FOV observation radius and the height of the spectrometer. Figure 35 illustrates the relationship between the distances as well as the formulation of the angle $\theta$.

![Figure 35: FOV angle equation](image)

Table 3: FOV angle results

<table>
<thead>
<tr>
<th>height</th>
<th>Angle $\theta$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>61.9</td>
</tr>
<tr>
<td>1.5</td>
<td>51.3</td>
</tr>
<tr>
<td>2.0</td>
<td>55.1</td>
</tr>
</tbody>
</table>

Radiometric Solutions is a geophysical company that developed the RS 230 spectrometer and confirmed that the field of view for the device is at an angle of 60 degrees. Table 3 contains the results of the three tests each represented by an experimental angle. The angles between the three tests differ slightly from one another, with the 1 meter calculation accurately portraying the spectrometer’s viewing angle. The 1.5 and 2 meter angle tests provided angles that varied between 10 and 5 degrees, respectively. The difference may be a result of inadequate variation in data or the distance between the stations was too large. As observed, the HGM graphs became difficult to distinguish as the spectrometers height increased and it could possibly have been easier if there were more stations situated closer to one another. If the 1.5 meter test had an edge value of 2.5, the resulting angle would have been 58 degrees, resembling the correct angle.
Knowing what the spectrometers observation angle is allows the interpreter to determine the radius of the survey. If the spectrometer is situated at a height of 2 meters then the FOV will be 3.4 meters

5.2 Effect of source size on measurements

A radiometric anomaly is an important occurrence in the field of geophysics, and can vary in size and intensity. At close range, anomalies will easily be detected, but with an increase of elevation, the anomalies may be overshadowed at a certain height by the surrounding radiation or background noise. The development of an experiment was required to illustrate the effect of background noise on anomalies with the increase of height.

Three radiometric sources of different sizes were measured by a spectrometer at different height intervals. Figure 36 illustrates the loss of radioactivity with the increase in height. The blue, yellow and green lines represent the radiometric sources, while the black line represents the control or background noise and the grey area indicates the minimum and maximum background values. The radius of the three different sources is indicated at the bottom of the figure.

![Height response of circular sources](image_url)

*Figure 36: Height response of circular sources*
When viewing the figure we can easily distinguish the different source sizes based on the loss of cps. As expected, the smallest source will reach the lowest altitude before being overshadowed, while the largest source will take the longest to be overshadowed.

**Signal to noise ratio**

If the background noise of a surveyed area is known then it is possible to determine a signal to noise ratio (SNR). Knowing the SNR allows the determination of a possible height where the anomaly will be overshadowed by the background noise.

The SNR is determined by dividing the measured cps average by the known background noise value and is represented by the following equation (Coetzee, 2008):

\[ SNR = \frac{\sqrt{CPS \text{ Radiometric signal}}}{\sqrt{CPS \text{ Background noise}}} \]

The signal as well as the noise values are square rooted allowing the data to be transformed decreasing the variations in the data. If the background noise and measured signal data is at a 1:1 ratio then the radiometric source has become equal or less than the background noise. However, if the signal value is greater than the noise value then the radiometric anomaly is worth mentioning.

Table 4 indicates the signal to noise ratios for the previous experiment. The ratio between the smallest source and the background noise becomes extremely small very rapidly, only reaching a 1:1 ratio at 1.8 meters, but is almost indistinguishable at 80 cm. The middle source remains above the 1:1 ratio until it reaches a height of 160 cm. The largest source does not reach the same ratio as the previous two sources, but does reach a small ratio of 1:1.09 indicating only a small difference.
The signal to noise ratio produces a rough estimate of the maximum height where the anomaly will still be detected. However, only the overshadowing height is not representative of the anomaly value. For instance, if an anomaly is 90% overshadowed is the anomaly still relevant? It is thus important to determine the percentage of overshadowing for an anomaly with the increase of the measuring height.

Using the results from the previous test allows for the formulation of a universal equation to represent the three different graph lines (each representing a different source size). However, the use of a single equation to represent numerous lines will result in varying parameter values with the only constant being the height represented by (x).

Origen pro was used to determine which equation would provide the best fitted line. A series of different equations was simulated and the equation that provided the best R value was then selected to represent the measured data. The equation that provided the best correlation is depicted in Error! Reference source not found. and can be formulated as follows:

\[ y = a - b c^x \]

Each parameter value (a, b and c) of the three lines is provided in Table 5. However, to ease the interpretation of the equation the x and y values are replaced with the correct corresponding symbol. The y value is replaced with counts per second (CPS), while the x value is replaced with height (H). The resulting equation is then as follows:
To create a single representative formula for the three source sizes, it is firstly necessary to determine the relationship between the different formula parameters.

The relationship between the parameter values is determined by plotting all of the different values for one of the parameters on a single graph (Figure 37). A line of best fit is then drawn between the parameter values. The best fit line’s equation is then used to represent the specific parameter. This is then repeated with the remaining two parameters. The parameter graphs are depicted in Figures 38 to 40:

\[
CPS = a - bc^H
\]

Table 5: Equation parameter values

<table>
<thead>
<tr>
<th>Source radius (cm)</th>
<th>a</th>
<th>b</th>
<th>c*1000</th>
</tr>
</thead>
<tbody>
<tr>
<td>36.5</td>
<td>0.20133</td>
<td>-1.80757</td>
<td>16.490</td>
</tr>
<tr>
<td>20.0</td>
<td>0.17765</td>
<td>-0.97039</td>
<td>6.000</td>
</tr>
<tr>
<td>9.0</td>
<td>0.16655</td>
<td>-0.37107</td>
<td>0.440</td>
</tr>
</tbody>
</table>

Figure 37: Line of best fit for the FOB values
Source radius vs. a-parameter

![Graph showing the relationship between source radius and a-parameter.](image1)

**Figure 38**: FOB equation representation for parameter a

Source radius vs. b-parameter

![Graph showing the relationship between source radius and b-parameter.](image2)

**Figure 39**: FOB equation representation for parameter b
To obtain an easier line of best fit the values for parameter C were multiplied by a factor of 1000, but will then be divided by 1000 within the final equation.

![Source radius vs. c-parameter](image)

Figure 40: FOB equation representation for parameter c

Each of the parameter representative equations (table 6) is then used to replace the parameter in the original FOB equation producing a universal equation that represents all three original source lines:

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Representative parameter formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>$a = 0.123(x)^{0.132}$</td>
</tr>
<tr>
<td>b</td>
<td>$b = -0.0521(x)$</td>
</tr>
<tr>
<td>c</td>
<td>$c = 0.0131(x)^2 - 0.0278(x)$</td>
</tr>
</tbody>
</table>

$$CPS = a - bc^H \quad \rightarrow \quad CPS = (0.123 \cdot (x)^{0.132}) - (-0.0521(x)) \cdot (0.0131(x)^2 - 0.0278(x))^H$$

$H$ Height above ground (meters)

$x$ Source radius (meters)

The final equation is then used to produce fractional background values for a circular anomaly cross section ranging in radii sizes from 1 to 7 meters and is depicted in figure 41.
The x-axis indicates the elevation of the spectrometer in meters. Whereas, the y-axis represents the fraction of background or the percentage of overshadowing. When viewing a radioactive source that contains a cross-sectional radius of 1 meter it can be estimated that the source will be completely overshadowed by background noise at an altitude of 4 meters while only 50% of the source will be overshadowed at an altitude of 1.5 meters.

5.3 Activity loss measurements

By using the height correction formula coupled with the FOV measurements, a simulation can be produced to determine the loss of activity as compared to field-tested activity loss measurements. To simulate the activity loss the parameters for the height correction equation have to be established:

\[ n = n_0 e^{-\mu (H-h)} \]

- \( n_0 \) The initial cps value measured at ground level
- \( -\mu \) Linear coefficient of 0.00505 given by (Minty, 1997:39–50)
- \( (H-h) \) The H-h refers to the elevation height minus the depth of radiometric influence for an infinite homogenous surface (Erdi-Krausz & Nicolet, 2003),

The use of the equation is ideally suited for a homogenous environment. However, due to the inconsistent radiometric levels underneath the tailing dam surface results can be varied. Thus for the purpose for this study we will assume that the depth value \( (h) \) is equal to zero.
Leaving only the height of the UAV ($H$) to have an influence. Figure 42 depicts the circle of investigation of an elevated UAV:

Figure 42: $R$ value representation

Figure 43 depicts the loss of activity on both the measured as well as the modelled data. The black line represents the UAV measured cps values at altitudes of 2, 5, 10, 15 and 20 meters, while the yellow line represents the modelled data through the use of the height correction formula. The increase of height results in an increase of FOV and is represented by the orange bar graphs.
The activity loss of both the measured and modelled cps values follow a very similar trend with almost identical values up to a height of five meters, but separates more significantly with the further increase of altitude. The end cps values of both measured and modelled data differ by 35 meters or 7.5 percent, indicating a good correlation between the measured and modelled values. One possible explanation for the separation of the measured and modelled data is that the increase of height resulted in a large FOV area and due to the equation simulating a homogenous area could have caused varying results.

Figure 44 presents a loss of activity test that was conducted by using a 6 meter PVC pole while remaining stationary. The pole measurements were taken at 1 meter height intervals up to a maximum height of 5 meters.
The modelled and measured data shows an extremely close correlation up until the highest altitude of 5 meters. The percentage difference between the modelled and measured data at 5 meters is only one percent.

The final test shown in Figure 45 was also done through the use of the PVC pole but differed from the stationary test by moving at a constant speed of one meter per second, resulting in only a single measurement.
Similar to the stationary pole, the moving pole showed a close correlation between the modelled and measured activity loss. The percentage difference between the modelled and measured data at 5 meters is also only one percent. The activity loss graph also portrays a similar trend to that of the FOV and SNR graphs where all the graphs are represented by an exponential equation. The increase in FOV also allows a larger area to influence the activity and serves as a buffer against the loss of activity due to the attenuation coefficient. The resulting graph appears linear, but with higher altitudes a steeper decline is to be expected.

### 5.4 Measurement simulations

A series of simulations were developed to examine the effect of speed on the accuracy of radiometric data. Three different height intervals (1, 3 and 5 meters) were chosen for the simulation with each height being subjected to speed simulations of one, two and four meters per second. The detailed stationary pole data was initially used as the base data for the simulations of the three different height intervals.

The black dotted line represents the average stationary base data, while the solid green, yellow and black lines represent the simulated data, with the green line representing the 1 meter per second simulation, the yellow line representing the 2 meters per second simulation and the black line representing the 4 meters per second simulation. The grey area represents the minimum and maximum values.

Figures 46 to 48 represent respectively the one, three and five meter elevated simulations.
Figure 46: Simulated vs measured response at 1m elevation

Figure 47: Simulated vs measured response at 3m elevation
All three graphs portray a similar form. The stationary measurements remain the smoothest as expected, but as soon as the spectrometer starts to move the values begin to fluctuate. Based on the simulation it seems that the higher the moving speed the smoother the data will appear.

When the spectrometer is moving at a slow speed, the measurements are situated close to each other and any variations in measurements may cause a graph to appear jagged. An area measured at a slow speed could also overlap with the previous measurements area. This can cause alliterations especially if an anomaly is present within the area. If a UAV moves at an increased speed the amount of overlapping area are decreased, the measurements is also situated further apart giving the data a smoothed appearance.
5.5 UAV measurements

Effect of a UAV on radiometric measurements

Does the use of different equipment during a survey have an effect on the results? This is an important aspect to take into account when combining two or more different devices, especially when one of the devices measures electromagnetic waves.

To determine if the use of a UAV has an effect on the measuring of gamma-rays, the RS 230 spectrometer was connected to a custom built UAV and the spectrometer recorded for 40 seconds. After 40 seconds, the UAV was turned on with the rotor blades turning while remaining in the same position. The spectrometer was left for another 40 seconds while the UAV was running. The results of the recordings are shown in Figure 52.

![Figure 49: Effect of a UAV on a spectrometer](image)

When viewing Figure 49 a distinct difference can be observed between the data measured before and after the UAV was turned on. The initial measurements where the UAV was turned off was at a lower cps but contained a higher frequency than that of the measurements taken while the UAV was on. The data recorded during the running period of the UAV had an overall higher cps count, but a lower frequency except when the pcs reached 520.

The decrease in frequency may be an effect of the vibrations caused by the UAV, while the UAV itself may be a source of electromagnetic waves recorded by the spectrometer. None the less, it is an important factor to take into account. It is reasonable to assume that once
the UAV is running the increased cps will remain constant throughout the survey. Each UAV may also have a different effect on the data acquired by a spectrometer and has to be adjusted according to its own effect.

**UAV flight**

The final UAV system was subjected to strong winds influencing the movement speed as well as the height correlation. However, despite the environmental factors the UAV finished the measurements and is represented by Figure 50.

![Simulated flight data vs. drone at 5m elevation and 1 m/s](image)

*Figure 50: UAV data vs simulated data*

The UAV data was compared to a simulation determining the accuracy of the UAV's measurements. The yellow line represents the UAVs measurements while the black line represents the simulation values. Initially, the UAV contained a higher cps value and wasn’t lined up with the simulation. However, the simulation does not include the effect of the UAV on radiometric data as well as the influence of strong winds on the positioning of the UAV. To compensate for the influence of the wind the UAV data was subjected to a 5 point moving average making the data more relatable to the simulated values.
5.6 Downscaling

The purpose of UAV measurements is to produce data that is accurate and relatable to ground measurements. By downscaling the UAV data, we are able to determine if the UAV measurements can be converted to represent data measured on the ground.

To test the accuracy of downscaling, prior ground measurements need to be available to compare the results. By altering the \( H \) and \( n_0 \) value of the height correction equation we can possibly predict the activity at lower altitudes. The \( H \) value needs to represent the distance between the spectrometer and the ground of the lower altitude, while the \( n_0 \) value represents the initial activity value of the higher measured altitude.

\[
n = n_0 e^{-0.00505 \times H}
\]

Figure 51 portrays detailed radiometric pole measurements at altitudes of 5, 3, and 1 meter. The solid lines represent the measured values while dotted lines represent the downscaled data from five meters to three and one meter.

Prior to 80 meters the five meter downscaled values convincingly represent the measured three and one meter values. However, after 80 meters the downscaled values start to drift away from the actual measurements, indicating a poor correlation. The only difference

Figure 51: Downscaling of 5 meter high measurements
between the area before and after 80 meters is the activity of the terrain. Prior to the 80 meters readings, the terrain has a homogenous activity with little to no anomalies, but after 80 meters the surface activity values become inconsistent with areas containing a much higher activity count than the other surrounding areas. Figure 52 illustrates the distribution of activity over the surface of the tailing dam with each dot representing a 20 meter interval. The dotted circle indicates the FOV of the spectrometer at an altitude of 5 meters.

The FOV is larger at higher altitudes, increasing the amount of anomaly material that is included than the FOV at lower altitudes, illustrated by Figure 53. Thus, the downscaling after 80 meters did not take the loss of anomaly detection into account, resulting in an inaccurate prediction. However, the use of downscaling proved to be an accurate representation when the area contains a homogenous surface.
6 Conclusion and Recommendations

The aim of this study was to determine whether mounting a handheld spectrometer onto a UAV is a viable solution towards easier and faster radiation data collection. Traditionally radiometric data is measured by means of a handheld detector operated by a technician walking survey lines over a test area. The testing method is cheap, but is a time consuming process and the study area may be unsafe for the operator. Cars or aeroplanes are also used as alternative radiometric platforms. However, they have restrictions: the use of a car is faster than walking, but is limited to areas only accessible to vehicles. A confounding factor is the fact that the vehicle may mask the radiation, resulting in non-accurate readings. Airborne radiometric surveys are fast and capable of surveying large areas. However, it is a complex, specialized and extremely costly method (Richards, 1981). Thus, the search for an alternative surveying method motivated the testing of UAV systems as possible platforms. UAV systems are faster than walking, more accessible than vehicles and cheaper than aeroplanes, making them theoretically a great platform for radiometric surveys. Equipping a UAV with a handheld spectrometer provides a system that is a potential cheap and effective.

The first objective of this study was to determine the detection capabilities of a handheld spectrometer at different heights above different source sizes. By using the spectrometer’s field of view (FOV) and a height correction formula, the study proved that the RS 230 spectrometer is capable of accurately measuring gamma rays up to a height of 20 meters. However, the terrain must be radiologically homogenous. If not homogenous, the measured data may be misrepresented, due to the influence of radiological hot spots altering the cps values. The study also proved, by determining the fraction of background (FOB), that an elevated spectrometer might measure smaller radiological hotspots as background noise. If the detection of anomalies is important, the spectrometer should be operated at a lower height.

The second objective was to obtain accurate surface radiometric measurements at different heights along a predefined survey line. A gold tailings dam was chosen to be used as a source of radioactive material and a survey line across the tailing dam was identified. Detailed surveys at different altitudes were conducted, providing valuable data. Results showed that a handheld spectrometer attached to a stationary UAV is capable of providing accurate data up to a height of 20 meters. However, in order to complete a survey, the spectrometer must be able to provide accurate data whilst moving. It became evident that high speeds had a smoothing effect on the data. When the spectrometer is moving at a
slow speed, the measurements are situated close to each other and any variations in measurements may cause a graph to appear jagged. An area measured at a slow speed may also overlap with the previously measured area. This can cause alterations especially if an anomaly is present within the area. If a UAV moves at an increased speed the amount of overlapping area is decreased, the measurements are also situated further apart giving the data a smoothed appearance.

It is important to note that the faster a UAV moves, the more the data will be shifted to a side. If a UAV moves at a speed of four meters per second, then the initial measured count would have been shifted by roughly four meters. Therefore, it is important for the UAV to maintain a constant speed throughout the survey and that the data are adjusted accordingly to represent the correct data at the correct location.

The third objective was to compare the stationary measurements with the UAV surveyed data. Data obtained by the UAV system differed from that of the reference measurements. Strong prevailing winds led to the inconsistent flight of the UAV system, which subsequently influenced the measuring capabilities of the spectrometer. After considering the influence of the wind on the data, a much stronger correlation with the referenced measurements was observed.

The last objective was to compare the experimental survey data with the reference ground survey. The experimental survey data results were downscaled to be compared to the ground survey. However, certain areas of the tailings dam correctly represented lower altitude values while other areas misrepresented lower altitude values. The whole test area was surveyed and indicated areas with elevated cps values or hot spots. The areas that correctly represented the lower altitude values were homogeneous, containing a constant cps value. Thus, the downscaling of areas containing hotspots misrepresents the lower altitude values. The spectrometer’s field of view at higher altitudes included anomalies that were missed by ground surveys.

Studies exploring the capabilities of UAV technology as an alternative measuring method are not a new concept. Pirttijärvi & Oy (2016) researched the possibility of a UAV system replacing walking measurement. However, their findings were solely based on observations made by generating kriging maps.

This study focused on proving the usability of a UAV system using various mathematical simulations, and not solely based on visual interpretations. Towler et al., (2012), as well as Bogatov and Mazny (2013) undertook research studies aimed at using UAV systems during times of crisis such as the events of Fukushima and Chernobyl. These studies focused on
ways of identifying radiological hotspots without putting a human in danger. They did, however, only focus on identifying hotspots and not on the accuracy of the UAV system.

Previous studies focused on whether it is possible to see a mark on a map, whereas this study aimed to determine the significance of the mark.

Using a UAV for radiometric measurements may have many positive aspects, but there are also negative aspects. During the course of the study, three different UAV systems were used and all three systems were damaged, mostly resulting in incomplete surveys. Thus, a 6 meter PVC pipe was used to mimic a UAV. Appendix 1 contains a fault tree analysis as well as a critical analysis for each of the failed UAV systems. The system failures included human error, mechanical damages, depleted power supplies, excessive vibration as well as miscellaneous failures.

The fault tree analysis identifies the root cause of the failure, while the critical analysis classifies the failure according to severity, probability and according to the threat of failure. The severity of the failures varied between critical and catastrophic, while the probability was less serious only varying between occasional probability and extremely unlikely probability. Two of the three failures have a moderate threat of failure, while the third one has a low threat of failure.

Based on simulations and tests, the study proved that the use of an UAV combined with a spectrometer is a viable alternative for radiometric measurements. A good correlation between measured and simulated activity values, up to a height of 20 meters, was produced. The results also presented the effect of speed on measured values and portrayed the effect of a UAV system on the measuring capabilities of a spectrometer.

The limiting factor of a UAV spectrometer system is the reliability of the UAV itself. The loss of three UAV systems caused a significant loss of time as well as resources, limiting the number of tests. Another limiting factor is that some areas contain an inconsistent distribution of radioactive material. The higher the UAV is situated, the bigger the field of view will be, resulting in the loss of anomaly values. If a homogenous area is surveyed, the UAV can measure up to 20 meters in the air, but if the area is inconsistent, the UAV should remain at an elevation of approximately three meters.

A recommendation for future research into the usability of a UAV system for radiometric surveys is to include more measurements by using a UAV system that is reliable and cost effective. The UAV should be able to manage the weight of the detector, while maintaining a constant height and speed. Ideally, the UAV should also be able to remain airborne for the whole duration of the survey but if not possible, the tested UAV should require the minimum
amount of refueling/recharging. The effects of anomalies on the quality of data should be further researched. Identifying the optimum height should not solely be based on the size of the anomaly, but also its radioactive intensity. Finally, the usability of handheld detectors must be tested at higher altitudes as well as areas with a varying topography.
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8.1 Method assessment

8.1.1 Introduction

During the research study, a number of incidents took place regarding the performance of different UAV systems providing merit for the use of objective as well as subjective assessments on the reliability and usability of UAV systems in the commercial market. The reliability of UAVs is influenced by a wide range of environmental, human and mechanical factors contributing or prohibiting to the performance of a UAV during field tests. Franco and Goes defines UAV reliability as “the probability that a UAV will operate without failure for the duration (t) of a specified mission profile, given that it was fully operation at the time (t=0), as well as during in preflight tests.”

When a UAV is compared to a manned aircraft, it is desired that the UAV will be a more cost-effective substitute while still providing services that are at an acceptable level for the research mission. These services include the level of safety, operability, mission reliability and survivability (Franco & Goes, 2007).

During the project a total of three UAVs had been used to obtain the required data, all three of the drones sustained damage during the test period. The complications took place at different stages of the flight and varied from mechanical, human, environmental, product limitations and miscellaneous errors.

Initial background information will be provided explaining the circumstances for each case study including the capability of the operator, mechanical reliability, UAV limitations, miscellaneous occurrences, and the environmental factors. After the background information has been established a Fault Tree Analysis (FTA) will be conducted identifying the cause of the failed attempt. Finally, a Critical Analysis (CA) will be performed to determine the probability of the mishap occurring again in the future.

A fault tree analysis (FTA) is a safety design analysis that is used to represent a failure or combination of failures as a graphical representation. The analysis starts by identifying the “top events” of the failure referring to the effects of the system failure. The analysis proceeds by determining how the top event can be caused by a single or combination of lower level failures according to a known set of failure modes. The fault tree analysis functions on the logical interactions between different systems and how the fault of one or a combination of systems can affect the product as a whole. Figure 1 depicts the complete fault tree system with the top event as the loss of propulsion and from there the tree branches out and becomes more specific as it moves down towards lower level failures.
A critical analysis will rank the failure of the UAV by the probability of it happening again as well as the effect that the failure had on the mission and the UAV.
Table 1: Failure severity classification (Franco et al, 2007)

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Mishap Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Catastrophic</td>
<td>A failure which may cause system of UAV Platform loss</td>
</tr>
<tr>
<td>2</td>
<td>Critical</td>
<td>A failure which may cause major system damage which will result in a UAV mission loss</td>
</tr>
<tr>
<td>3</td>
<td>Marginal</td>
<td>A failure which may cause minor system damage which will result in a delay or loss of availability or mission degradation.</td>
</tr>
<tr>
<td>4</td>
<td>Minor</td>
<td>A failure not serious enough to cause system damage, but which will result in unscheduled maintenance or repair.</td>
</tr>
</tbody>
</table>

Table 1 classifies the effect that the failure may have on the mission as well as the UAV, whereas table 2 ranks the failure according to the probability of it happening again.

Table 2: Failure occurrence probability (Franco et al, 2007)

<table>
<thead>
<tr>
<th>Level</th>
<th>Occurrence</th>
<th>Probability</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Frequent</td>
<td>0&gt;0.2</td>
</tr>
<tr>
<td>B</td>
<td>Reasonable probability</td>
<td>0.10&gt;0&gt;0.2</td>
</tr>
<tr>
<td>C</td>
<td>Occasional probability</td>
<td>0.01&gt;0&gt;0.1</td>
</tr>
<tr>
<td>D</td>
<td>Remote probability</td>
<td>0.001&gt;0&gt;0.1</td>
</tr>
<tr>
<td>E</td>
<td>Extremely unlikely probability</td>
<td>0&lt;0.001</td>
</tr>
</tbody>
</table>

8.1.2 Gyrolag: Infotron IT 180

The geophysics company Gyrolag provided the University with an Infotron IT 180 UAV with the goal to research the usability of drones in the commercial market. The UAV was initially designed for military operations and has been used by the French army since 2012. The UAV is powered by a gasoline engine capable of flying with a 3+ kg payload for 25 minutes.

The UAV operator is a licensed pilot with vast knowledge regarding the rules and regulations of aerospace. Safety is a priority for the company and they ensured that all safety equipment and procedures were followed. The UAV flight plan was preemptively installed onto the
ground control station (GCS) and had the necessary emergency protocols to react to an unforeseen complication.

The preflight didn’t indicate any malfunctions and the go-ahead was given. But during the startup, the UAV began to shake uncontrollably and forced the pilot to push the fail switch to disable the UAV. After the UAV came to rest, a quick inspection revealed that a mechanical breakage occurred at one of the blade’s angle stabilizers. The stabilizer broke off and damaged some of the rotor blades, making the UAV unstable resulting in the UAV shaking uncontrollably further damaging the internal structures.

**Fault tree (Gyrolag)**

The damaged internal structure was a result of excessive vibrations and is categorized as a mechanical failure. However, the excessive vibrations were a result of the rotor blade stabilizers breaking off and damaging the blades making the UAV unstable. The instability of the UAV caused the excessive vibrations damaging the structure. Thus the damage caused to the UAV structure is a combination of mechanical and rotor failure, but the initial damage to the propeller instigated a chain reaction (figure 2).

![Fault tree analysis (Loss of propeller)](image-url)

*Figure 254: Fault tree analysis (Loss of propeller)*
Critical analysis (Gyrolag)

The mechanical failure resulted in significant system damage, grounding the UAV and prohibiting any further measurements. Based on the criteria from the failure severity classification system the mechanical failure is categorized as second degree as seen in table 3.

Table 3: Failure severity analysis (Gyrolag)

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Mishap Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Catastrophic</td>
<td>A failure which may cause System of UAV Platform loss</td>
</tr>
<tr>
<td>2</td>
<td>Critical</td>
<td>A failure which may cause major system damage which will result in a UAV mission loss</td>
</tr>
<tr>
<td>3</td>
<td>Marginal</td>
<td>A failure which may cause minor system damage which will result in a delay or loss of availability or mission degradation.</td>
</tr>
<tr>
<td>4</td>
<td>Minor</td>
<td>A failure not serious enough to cause system damage, but which will result in unscheduled maintenance or repair.</td>
</tr>
</tbody>
</table>

Mechanical failure is a part of normal wear and will happen throughout the UAV’s operating lifespan. The quality of the UAV’s production will affect the amount of normal wear that will occur and influence the time intervals between the failures. Due to the complexity and quality of the UAV’s production, it will be expected that normal wear will occur occasionally as seen in table 4.

Table 4: Failure occurrence probability (Gyrolag)

<table>
<thead>
<tr>
<th>Level</th>
<th>Occurrence</th>
<th>Probability</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Frequent</td>
<td>0&gt;0.2</td>
</tr>
<tr>
<td>B</td>
<td>Reasonable probability</td>
<td>0.10&gt;0&gt;0.2</td>
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<tr>
<td>C</td>
<td>Occasional probability</td>
<td>0.01&gt;0&gt;0.1</td>
</tr>
<tr>
<td>D</td>
<td>Remote probability</td>
<td>0.001&gt;0&gt;0.1</td>
</tr>
<tr>
<td>E</td>
<td>Extremely unlikely probability</td>
<td>0&lt;0.001</td>
</tr>
</tbody>
</table>

After cross referencing the classification from table 3 and 4 and plotting it onto table 5. Table
<table>
<thead>
<tr>
<th>Level A</th>
<th>Level B</th>
<th>Level C</th>
<th>Level D</th>
<th>Level E</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequent</td>
<td>Reasonably probable</td>
<td>Occasional</td>
<td>Remote</td>
<td>Extremely unlikely</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Category 4</th>
<th>Category 3</th>
<th>Category 2</th>
<th>Category 1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minor</td>
<td>Marginal</td>
<td>Critical</td>
<td>Catastrophic</td>
</tr>
</tbody>
</table>

Based on table 1 we can estimate that the mechanical failure poses a moderate threat to future UAV operations. A possible solution to limit the occurrence or damages as a result of mechanical failures is regular maintenance, diligent preflight checks, using high-quality UAV systems, skilled operators and correct emergency protocol.
8.1.3 Haevic Drones

After Gyrolag’s UAV failure, a new drone was required to finish the radiometric measurements. Haevic drones, a side branch company of Triomf, was approached to work in collaboration with the university to complete the radiometric measurements. The drone that was suggested for the test was a custom built six blade UAV. The UAV was previously used for distributing agricultural pesticides and is capable of carrying 5 kg. The UAV is battery powered and can fly with a payload for 10 minutes.

The flight plan was established and developed a few days before the mission flight. Safety precautions were a priority, and all necessary gear was present the day of the flight. Before the flight commenced, a preflight check was conducted and the go-ahead was given. After the UAV took flight, it proceeded to move towards the initial test line. The initial speed of the UAV was at a startling slow pace. A few minutes later, the UAV signaled red indicating that the battery has been depleted. Shortly after the initial warning, the UAV started to lose altitude at an increasing rate. The UAV made contact with the ground, breaking off two of the rotor limbs and damaged the UAV’s framework. When the UAV crashed the RS 230 spectrometer broke loose from its frame hitting the ground and damaged the casing, but no internal damage resulted.

There were no immediate explanations for why the UAV crashed, but after further investigations, two possible factors were suggested that might have contributed to the crash. Firstly the flight plan that was inserted into the computer was incomplete. It didn’t specify the speed at which the UAV should initially move towards the starting point resulting in the slow hovering UAV losing battery life as it went on. Although the battery charge time is supposedly 10 minutes, the operator suggested that the weight of the payload may have influenced the flight time. As previously mentioned the drone is said to be able to carry 5 kg, but the difference being from a fixed payload the 5 kg payload becomes lighter over time once the distribution of pesticides has started. Thus, a fixed weight payload might have shortened the expected flight time and together with the loss of initial battery life could have been enough to cause a crash.
Fault tree (Haevic Drones)

The Haevic drones mission failure was a result of inadequate procedure planning as well as loss of battery power. The insufficient knowledge regarding the correct insertion of a mission plan contributed to energy wasted on positioning. The weight of the spectrometer could also have influenced the battery life, as previously mentioned the operator suggested that the fixed weight of the spectrometer could have influenced the rapid depletion of the UAV's batteries. Based on the fault tree analysis the inadequate procedure planning falls under the Human Failure fault tree (figure 3) whereas the insufficient battery power is identified as Fuel Depletion under the fuel system failure as seen in figure 4 (The fault tree is developed for fuel powered UAV's, but can be slightly altered for battery-powered UAV systems).

![Figure 3: Fault tree analysis (Human Failure)](image-url)
Critical analysis (Haevic Drones)

The UAV failure caused catastrophic system damage to its systems, resulting in a complete termination of any further measurements. Based on the criteria from the failure severity classification system the mechanical failure is categorized as a first degree catastrophe as seen in table 6.

Figure 4: Fault tree analysis (Fuel system failure)
### Table 69: Failure severity classification (Haevic drones)

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Mishap Definition</th>
</tr>
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<tr>
<td>1</td>
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</tr>
</tbody>
</table>

Human error can occur in every study where direct interaction is needed influencing the results of a product or study. The possibility of a human error occurring at a company is dependent on the capability and experience of the people working for the company. It is difficult to estimate the chance of it happening again when only taking a few companies into account. But when reviewing the overall capability of the companies worked with in this study, it can be assumed to happen only rarely. For Haevic Drones the study was a new experience for the company increasing the likelihood for something to go wrong.

Due to the UAV being custom built meant that the manufactures didn’t have to test the product to determine its maximum capabilities. Thus, the probability of a custom-built UAV to be inadequate to successfully finish is greater than a UAV that is regulated by the market. The probability of a failure happening again is based on the competency of the company and as previously mentioned the overall capability of the companies worked with was outstanding, making it a rare occurrence (table 7).

### Table 7: Failure occurrence probability (Haevic drones)

<table>
<thead>
<tr>
<th>Level</th>
<th>Occurrence</th>
<th>Probability</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Frequent</td>
<td>0&gt;0.2</td>
</tr>
<tr>
<td>B</td>
<td>Reasonable probability</td>
<td>0.10&gt;0&gt;0.2</td>
</tr>
<tr>
<td>C</td>
<td>Occasional probability</td>
<td>0.01&gt;0&gt;0.1</td>
</tr>
<tr>
<td>D</td>
<td>Remote probability</td>
<td>0.001&gt;0&gt;0.1</td>
</tr>
<tr>
<td>E</td>
<td>Extremely unlikely probability</td>
<td>0&lt;0.001</td>
</tr>
</tbody>
</table>

When plotting the plausibility over the severity of the failure, we can estimate the threat of failure. Although the failure led to catastrophic damage to the UAV making it unusable for
any further studies it was due to a rare chance of human error as well as faulty design making it less of a threat.

Table 8: Threat of Failure (Haevic Drones)

<table>
<thead>
<tr>
<th>Level A Frequent</th>
<th>Level B Reasonably probable</th>
<th>Level C Occasional</th>
<th>Level D Remote</th>
<th>Level E Extremely unlikely</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Low</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

When reviewing the threat of failure table (table 8), we can estimate the specific failures to be a moderate threat towards UAV flight missions. Thus, it is of the utmost importance that any company that works with UAVs is familiar with all of the different components that may influence the UAV’s flight path or the success of the flight mission. This includes the physical capabilities of the UAV, the UAV’s software system as well as the competency of the pilot or operator.

8.1.4 UAV industries

With limited useable data due to multiple failed UAV attempts, a third company was approached to finish the flight mission. UAV industries a professional UAV company based in Cape Town was contracted to continue the measurements at New Machavie. The company specializes in videography for movies and sports events but was eager to broaden its capabilities to accommodate research studies. The drone that was proposed for the study
was a custom-built octocopter and was previously used to carry camera equipment that weighs up to six kg being more than capable of transporting the RS 230 spectrometer.

The flight planning entailed a detailed flight path developed using google earth, establishing the aims and objectives of the study, and requesting permission from the mine as well as the army due to the testing area being within the field-testing radius of the army. UAV industries send two employees for the field-testing of whom one was the operator and the other the manager. Before the flight, the landing zone was identified, and a temporary base station was established. The UAV was assembled and moved towards the landing zone where numerous preflight checks were conducted. Just before takeoff, a warning was broadcast on the radio to any aeroplanes within the vicinity of the testing site. After takeoff, the UAV struggled to obtain a connection with satellites due to the fast prevailing wind speeds pushing the UAV off course. After numerous failed attempts, the operator decided to fly the UAV by hand at an altitude of five meters above the tailing dam. Due to the prevailing winds, the first flight attempt by hand proved to be too difficult causing the UAV to vary its altitude during the flight resulting in inconsistent data.

The drone eventually established a GPS connection and was able to finish the first mission flight at an altitude of five meters. The second test required the UAV to fly horizontal lines at an altitude of 5 meter over the tailing dam. The total distance for the survey proved to be too long for the UAV to fly it on one set of batteries. After the first battery change, the UAV had to established a GPS connection and started to continue with the survey. However, as soon as the UAV began with the survey it flipped upside down and crashed into the tailing dam. The crash caused two rotor limbs to break off as well as major structural damage to the frame and hardware. The test was cancelled, and no further data was obtained.

Directly after the crash both UAV industry employees tried to determine the cause of failure, but with no success.

**Fault tree analysis (UAV industries)**

Due to the uncertainty of the crash, it is difficult to assign a cause to the failure. The purpose of the fault tree analysis is to establish the cause so that it can be used to prevent future failures. Unfortunately, there is no miscellaneous or unknown category within the fault tree system. Therefore this specific failure cannot be categorized according to it.
Critical analysis (UAV industries)

The crash resulted in catastrophic damage rendering the UAV useless. On the severity of failure table, it is classified as catastrophic due to mayor system damage (table 9).

Table 9: Failure severity classification (UAV industries)

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Mishap Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Catastrophic</td>
<td>A failure which may cause System or UAV Platform loss</td>
</tr>
<tr>
<td>2</td>
<td>Critical</td>
<td>A failure which may cause major system damage which will result in a UAV mission loss</td>
</tr>
<tr>
<td>3</td>
<td>Marginal</td>
<td>A failure which may cause minor system damage which will result in a delay or loss of availability or mission degradation.</td>
</tr>
<tr>
<td>4</td>
<td>Minor</td>
<td>A failure not serious enough to cause system damage, but which will result in unscheduled maintenance or repair.</td>
</tr>
</tbody>
</table>

Due to the uncertainty of the crash, it is difficult to confidently classify the plausibility of it happening again in the future. According to the UAV operator, he has never experienced the specific failure before and had no idea what caused the failure. Thus, based on the operator’s experience and the unknown cause of the failure the plausibility is classified as a level E (table 10).

Table 10: Failure occurrence probability (UAV industries)

<table>
<thead>
<tr>
<th>Level</th>
<th>Occurrence</th>
<th>Probability</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Frequent</td>
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</tr>
<tr>
<td>B</td>
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<tr>
<td>C</td>
<td>Occasional probability</td>
<td>0.01&gt;0&gt;0.1</td>
</tr>
<tr>
<td>D</td>
<td>Remote probability</td>
<td>0.001&gt;0&gt;0.1</td>
</tr>
<tr>
<td>E</td>
<td>Extremely unlikely probability</td>
<td>0&lt;0.001</td>
</tr>
</tbody>
</table>

After plotting UAV industries product failure of plausibility over the severity on the threat of failure table (table 11), we can deduce that the crash was an extremely unlikely event that caused catastrophic damage to the UAV system. Although the damages to the system were severe, the unusual likelihood of the failure happening again classifies the failure as a low threat of failure.
Table 11: Threat of failure (UAV industries)

<table>
<thead>
<tr>
<th>Level A</th>
<th>CRITICAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequent</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Level B</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Reasonably probable</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Level C</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Occasional</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Level D</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Remote</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Level E</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Extremely unlikely</td>
<td>LOW</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Category 4</th>
<th>Category 3</th>
<th>Category 2</th>
<th>Category 1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minor</td>
<td>Marginal</td>
<td>Critical</td>
<td>Catastrophic</td>
</tr>
</tbody>
</table>