

**Re-evaluating the contribution of sulfuric acid and the origin of organic compounds in atmospheric nanoparticle growth**

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## **Additional Supporting Information (Files uploaded separately)**

## **Introduction**

This file contains supplementary materials and methods including supplementary references, supplementary Figures S1 to S8 and supplementary Tables S1 to S3.

## Text S1. Supplementary materials and methods

### 1. Connection between secondary aerosol formation and new-particle growth

During a new particle formation event the change in secondary aerosol mass in the submicron size range, as measured by the ACSM ( $Q_{ACSM}$ ), can be written as

$$Q_{ACSM} = Q_{OA} + Q_{SO_4} + Q_{NH_4} + Q_{NO_3}, \quad (S1)$$

where the terms  $Q_i$  refer to the submicron mass change caused by changes in the measured OA,  $SO_4^{2-}$ ,  $NH_4^+$  and  $NO_3^-$  concentrations.

Here, we focus on periods with simultaneous new-particle growth and submicron aerosol mass increase. For such periods, we can determine the increases in  $Q_i$  ( $i = OA, SO_4^{2-}, NH_4^+$  or  $NO_3^-$ ), and thereby  $Q_{ACSM}$ , from linear fits to the ACSM data (see Fig. S4). Such a fit was also made for  $Cl^-$ ; however, this compound never gave important contribution to  $Q_{ACSM}$ , so it was omitted from further discussion. The NPF event period for the fit was identified using measured particle size distributions. Similar methods have been used by e.g. Zhang et al. [2011b] and Setyan et al. [2014].

In addition to chemical partitioning, observed changes in  $Q_{ACSM}$  may also be partitioned between different aerosol dynamical and atmospheric processes:

$$Q_{ACSM} = Q_{COND,KIN} + Q_{COND,SV} + Q_{HET} + Q_{TRANS}, \quad (S2)$$

Here, the sum of  $Q_{COND,KIN}$  and  $Q_{COND,SV}$  is the total submicron aerosol mass increase due to vapor condensation from the gas phase,  $Q_{HET}$  represents the mass increase through heterogeneous formation pathways [Pöschl, 2011], and  $Q_{TRANS}$  represents changes in the submicron aerosol mass caused by air mass transport effects. Of the two vapor condensation terms,  $Q_{COND,KIN}$  is for the subset of vapors capable of growing <30 nm diameter particles, i.e. essentially low and extremely low-volatile vapors [Donahue et al., 2011, 2012; Ehn et al., 2014], while  $Q_{COND,SV}$  accounts for the rest of condensable vapors, i.e. essentially intermediate volatile and semi-volatile vapors [Donahue et al., 2011, 2012].

The term  $Q_{TRANS}$  may become important due to dilution of polluted boundary-layer air, entrainment of pollution into a clean boundary layer, or due to rapid changes in the character of measured air masses. In order to minimize the effects of  $Q_{TRANS}$  and potential other problems with the data, we estimated  $Q_{ACSM}$  only for those periods when no abrupt changes in the background aerosol population occurred. Furthermore,  $Q_{ACSM}$  was estimated only when the submicron aerosol mass ( $PM_{10}$ ) obtained from the ACSM and derived from the DMPS agreed to within 20 % of each other.

The gas-phase concentration,  $C$ , of the vapors responsible for  $Q_{COND,KIN}$  is determined by the following balance equation:

$$\frac{dC}{dt} = P - CS \times C - Q_{J12}, \quad (S3)$$

where  $P$  is the gas-phase production rate of these vapors,  $CS$  is the condensation sink that particles larger than 12 nm in diameter represent for these vapors [Kulmala et al., 2012], and  $Q_{J12}$  is the sink that the formation of 12 nm particles represents to these vapors. We estimated  $Q_{J12}$  from the relation

$$Q_{J12} = J_{12} \times \frac{4\pi}{3} \times R^3 \times \rho, \quad (S4)$$

where  $R=8.7$  nm, i.e. the geometric mean radius of the size range used to calculate  $J_{12}$ , and  $\rho=1.83$  g cm<sup>-3</sup>.

By assuming a pseudo-steady state for the vapors responsible for  $Q_{\text{COND,KIN}}$  ( $dC/dt \approx 0$ ), we obtain:

$$P \approx Q_{\text{COND,KIN}} \approx CS \times C + Q_{J12} \quad (\text{S5})$$

The observed particle growth rate of 12–30 nm particles, GR, is directly proportional to the gas-phase concentration  $C$  [Kulmala et al., 2012]:

$$C = A(\text{GR} - \text{GR}_o) \quad (\text{S6})$$

Here,  $\text{GR}_o$  presents new-particle growth by processes other than vapor condensation, and  $A$  is a factor that depends on the properties of condensing vapor and particles size. We determined  $A$  separately for each GR range according to Nieminen et al. [2010] assuming molecular properties of sulfuric acid for condensing vapors (see Table S2).

Next, we define the quantity  $Q_{\text{GR}}$  by following Kulmala et al. [2012]:

$$Q_{\text{GR}} = CS \times A \times \text{GR} \quad (\text{S7})$$

By combining equations S2, S5, S6 and S7, we finally obtain:

$$Q_{\text{ACSM}} = Q_{\text{GR}} + Q_{J12} + Q_{\text{COND,SV}} + Q_{\text{HET}} + Q_{\text{TRANS}} - CS \times A \times \text{GR}_o \quad (\text{S8})$$

The sum  $Q_{\text{GR}} + Q_{J12}$  is the total condensable vapor source rate required to produce the observed values of GR and  $J_{12}$  simultaneously. On average (median),  $Q_{J12}$  was 9 % of  $Q_{\text{GR}12-30}$  in our data set.

## 2. Estimating sulfuric acid concentration

Gas phase sulfuric acid ( $\text{H}_2\text{SO}_4$ ) concentration was estimated with a proxy calculated according to equation 9 in Mikkonen et al. [2011]. The proxy is based on observed sulfur dioxide ( $\text{SO}_2$ ) concentration, CS, global radiation, temperature (T) and relative humidity (RH). At Welgegund  $\text{SO}_2$  was measured with a Thermo 43S gas analyser, global radiation was measured with a Kipp&Zonen CMP-3 and T and RH with a Rotronic MP101A [Petäjä et al., 2013]. The constant coefficients in the proxy have been determined from a least squares fit to chemical ionization mass spectrometry (CIMS) [e.g. Eisele and Tanner, 1993; Berresheim et al., 2000] measurements during six field campaigns [Mikkonen et al., 2011].

The estimated  $\text{H}_2\text{SO}_4$  concentration ( $C_{\text{H}_2\text{SO}_4, \text{proxy}}$ ) can be used to estimate the GR due to  $\text{H}_2\text{SO}_4$ , ( $\text{GR}_{\text{calc}}$ ) from equation S6 assuming that  $\text{GR}_o \approx 0$ :

$$\text{GR}_{\text{calc}} = \frac{C_{\text{H}_2\text{SO}_4, \text{proxy}}}{A} \quad (\text{S9})$$

Alternatively, the GR due to  $\text{H}_2\text{SO}_4$  can be estimated from the observed  $\text{GR}_{12-30}$  from the size distribution measurements and the observed fraction of  $Q_{\text{SO}_4}$  of the total  $Q_{\text{ACSM}}$ , similar to e.g. Bzdek et al. [2012]. We denote this estimate of GR due to  $\text{H}_2\text{SO}_4$  as  $\text{GR}_{\text{SO}_4}$ ,

$$\text{GR}_{\text{SO}_4} = \frac{Q_{\text{SO}_4}}{Q_{\text{ACSM}}} \cdot \text{GR}_{12-30} \quad (\text{S10})$$

Additionally, we have estimated the total gaseous sulfuric acid concentration (Fig. 4b) from the observed GR, CS,  $Q_{ACSM}$  and  $Q_{SO_4}$  as

$$C_{H_2SO_4, tot} = \frac{Q_{SO_4}}{Q_{ACSM}} \times Q_{GR} \quad (S11)$$

### 3. Air mass history

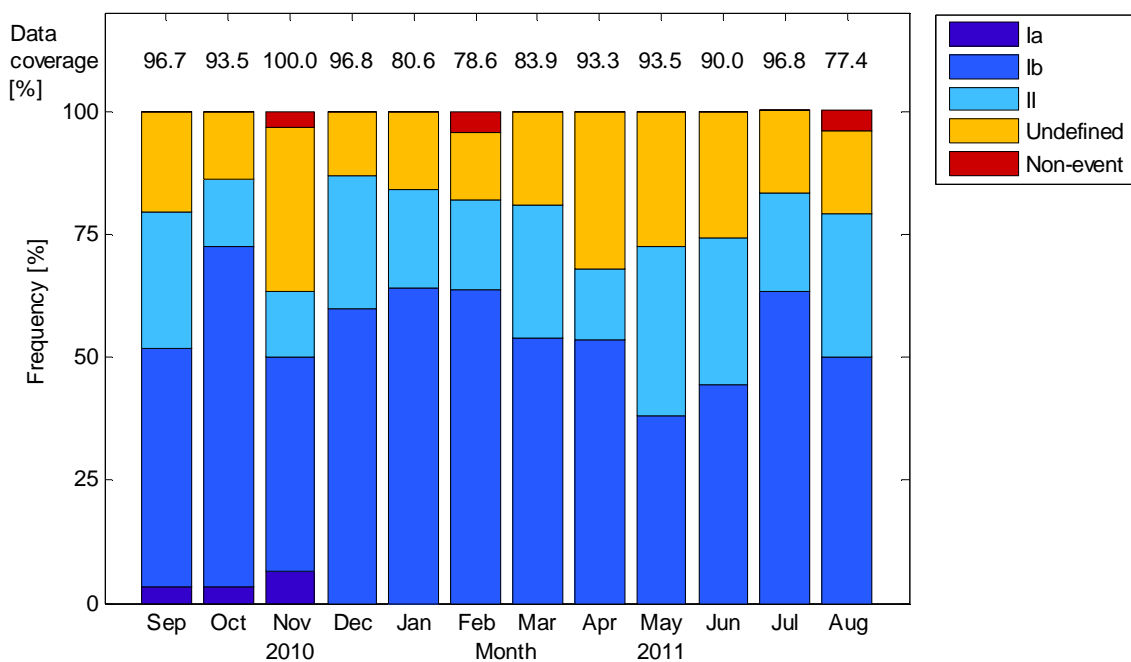
Air mass history was studied by calculating hourly 96-hour back-trajectories with the HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) version 4.8 model [Draxler and Hess, 1998]. As meteorological input data we used GDAS archive produced by the US National Weather Service's National Centre for Environmental Prediction (NCEP) and archived by National Oceanic and Atmospheric Administration (NOAA) Air Resources Laboratory (<http://www.arl.noaa.gov/archives.php>). The arrival height of the back-trajectories was 100 m above ground.

A NPF event was considered to represent the clean sector (cf. Fig. 3) if the back-trajectories for that time period spent on average (mean) at least 20 hours over the clean sector, less than four hours over the regional background sector north-east of Welgegund and completely avoided the industrialized Highveld region around Johannesburg. Similar criteria based on the time spent over each source region were applied to retrieve representative samples of NPF events from each region. The criteria are summarized in Table S3.

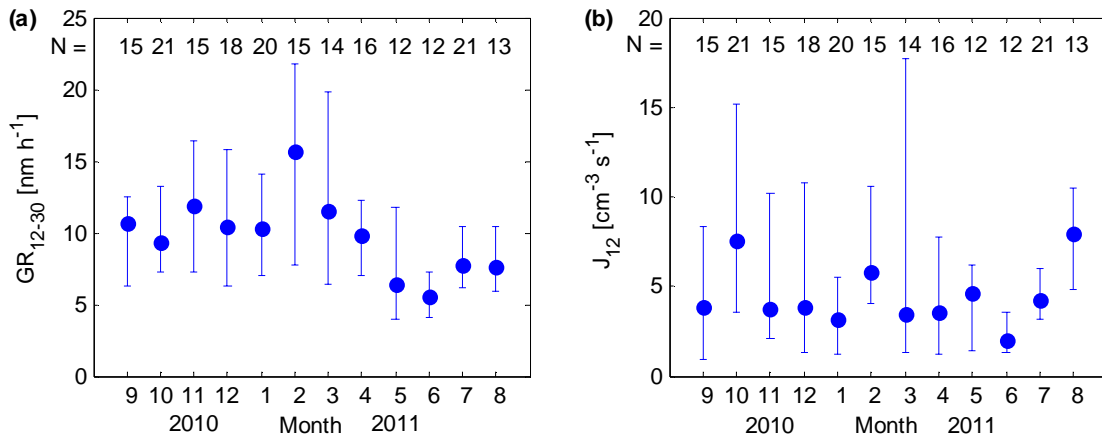
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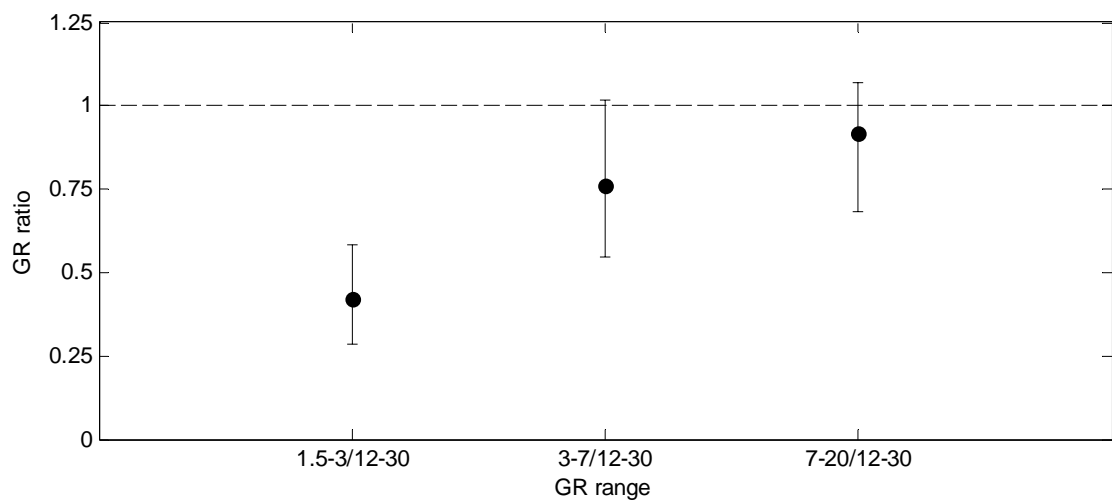
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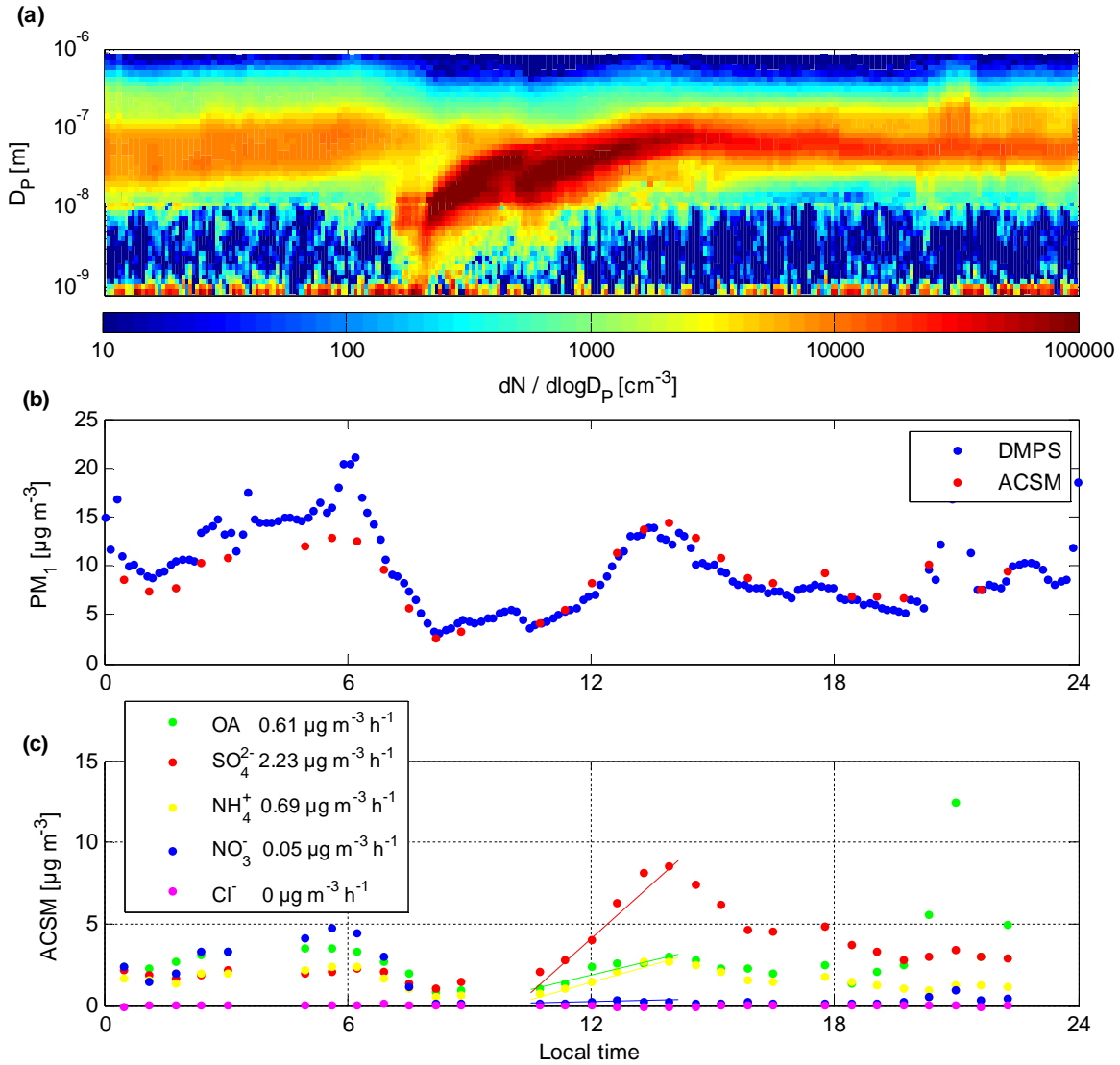
**Figure S1.** Seasonal variation of new particle formation frequency divided into five different classes [Kulmala et al., 2012] based on DMPS measurements. NPF event classes Ia, Ib and II show clear, regional-scale formation of new particles and their subsequent growth [Kulmala et al., 2012]. Also data coverage is shown for each month.



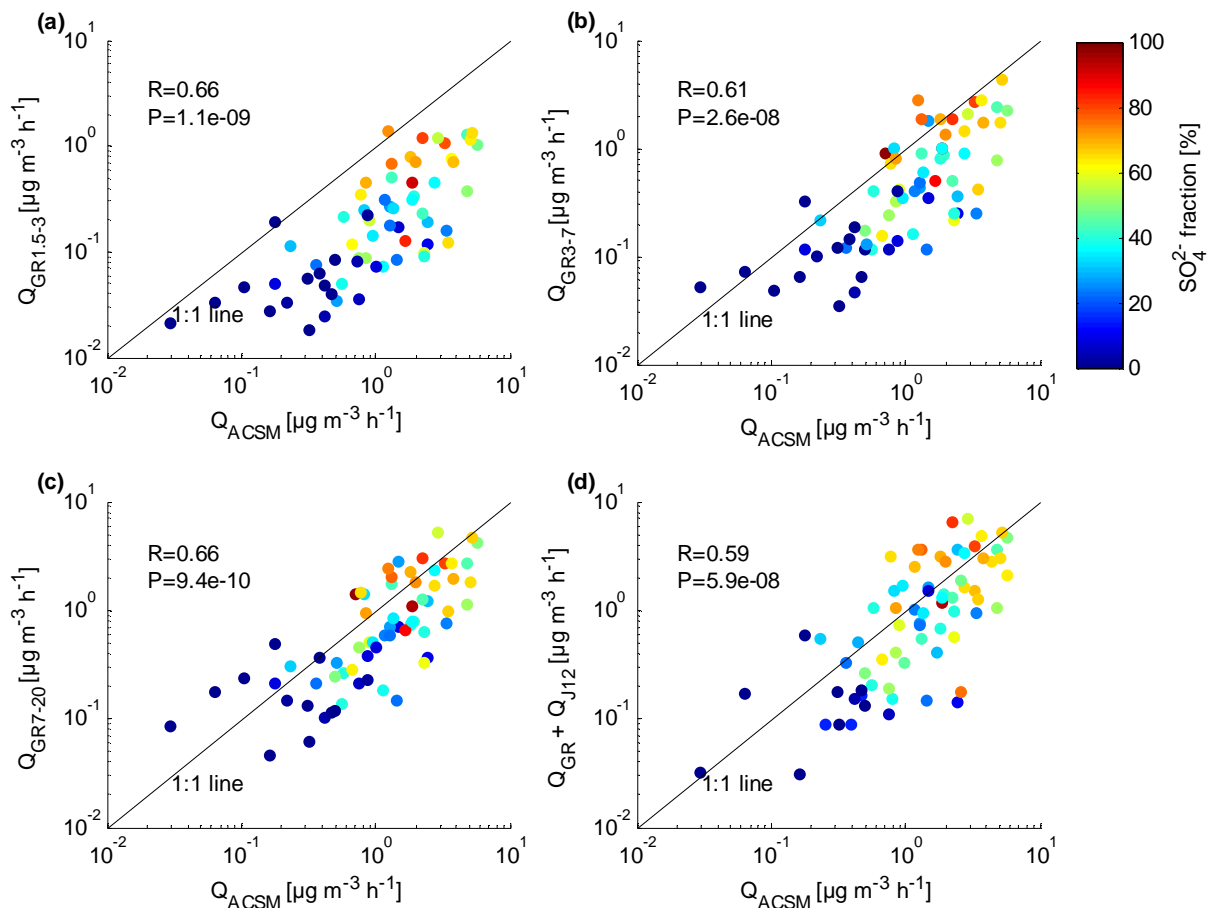
**Figure S2.** Monthly median GR<sub>12-30</sub> (a) and J<sub>12</sub> (b). Error bars indicate upper and lower quartiles. Also the number of determined GR<sub>12-30</sub> and J<sub>12</sub> is shown for each month.



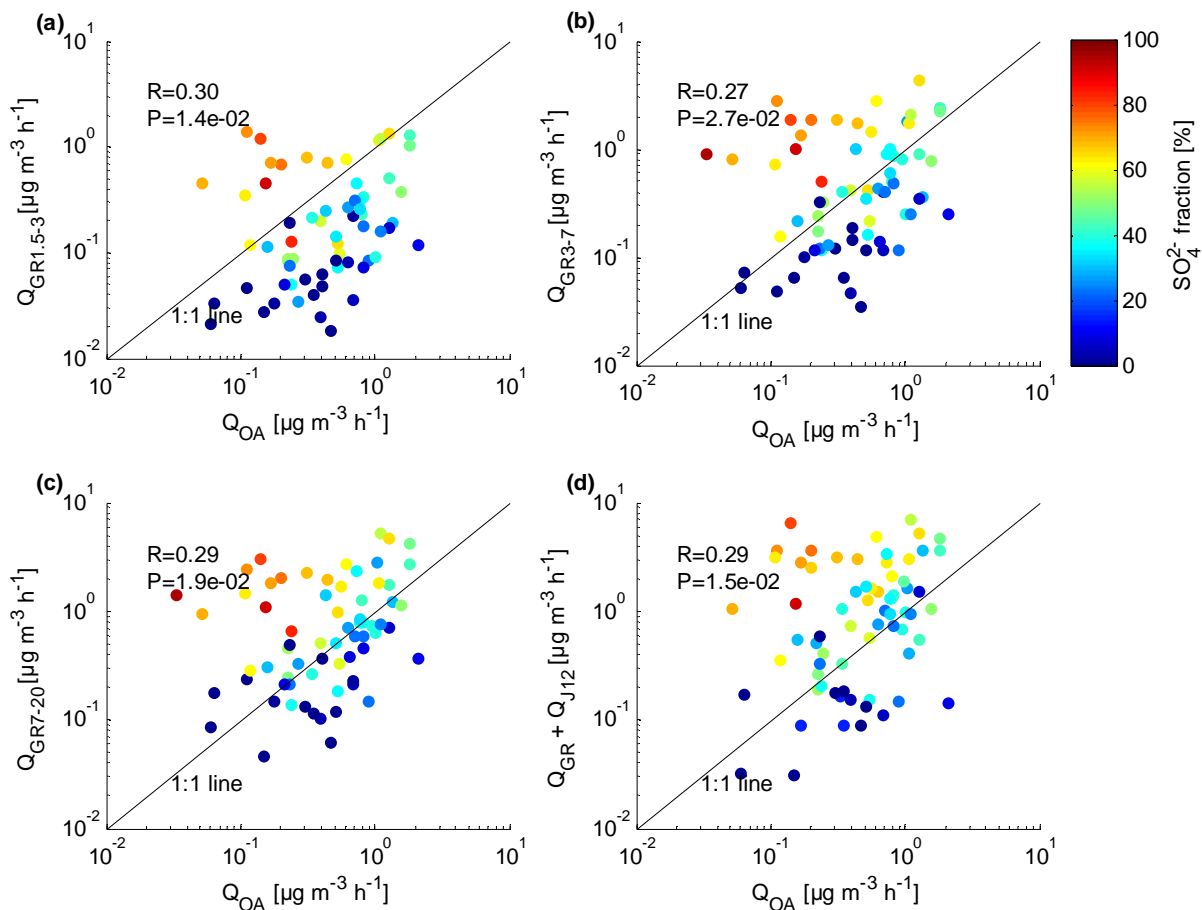
**Figure S3.** The median ratio of  $GR_{1.5-3}$  to  $GR_{12-30}$ ,  $GR_{3-7}$  to  $GR_{12-30}$  and  $GR_{7-20}$  to  $GR_{12-30}$ . The error bars indicate upper and lower quartiles.



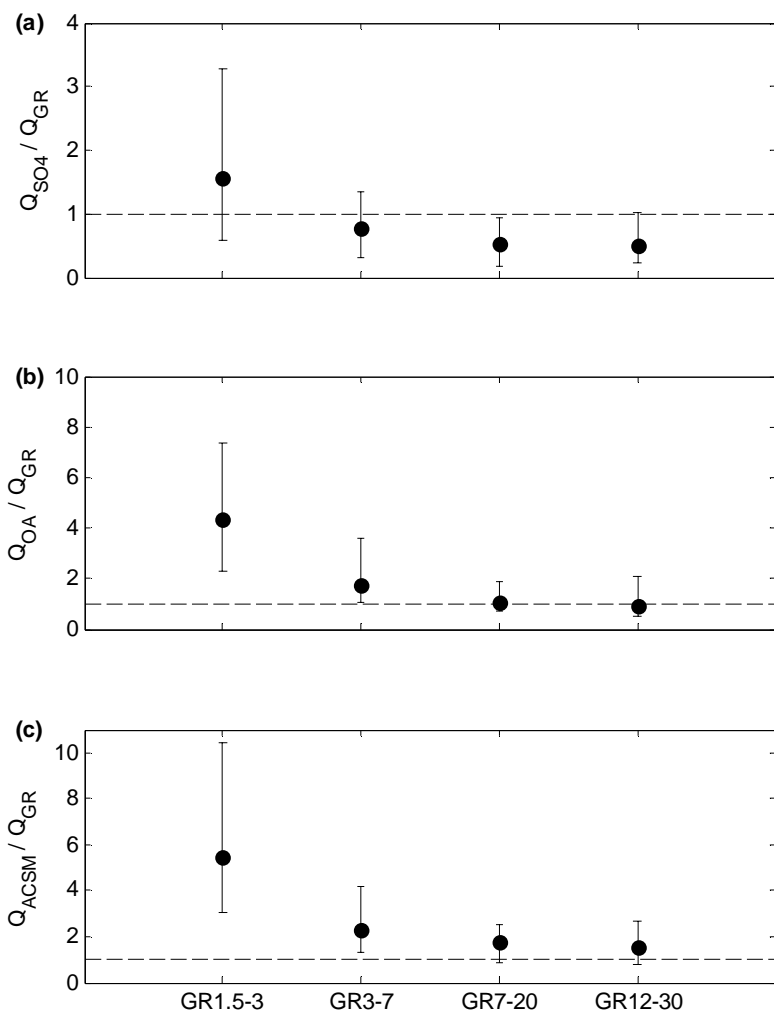
**Figure S4.** Measurements for 20 December 2010. **(a)** Diurnal evolution of the aerosol particle size distribution. The 12 to 840 nm size distribution is from DMPS, i.e. the sum of charged and neutral particles. Below 12 nm the size distribution is the negative polarity ion size distribution from AIS, multiplied by 30 for easier viewing. **(b)**  $\text{PM}_{10}$  mass concentration estimated from the ACSM (the sum of the species characterized by ACSM) and from the DMPS size distribution using the ACSM composition information to estimate the density assuming densities of  $1.3 \text{ g cm}^{-3}$  for OA and  $1.8 \text{ g cm}^{-3}$  for inorganic compounds. **(c)** ACSM mass increase rate is obtained from a linear fit to each component separately. For this day (the second event with  $\text{GR}_{12-30}$   $18.5 \text{ nm h}^{-1}$ )  $Q_{\text{ACSM}}$  is  $3.6 \mu\text{g m}^{-3} \text{h}^{-1}$ ,  $Q_{\text{GR}_{12-30}}$  is  $3.6 \mu\text{g m}^{-3} \text{h}^{-1}$  and  $Q_{\text{J}_{12}}$  is  $0.2 \mu\text{g m}^{-3} \text{h}^{-1}$ . Time is local time (UTC+2).



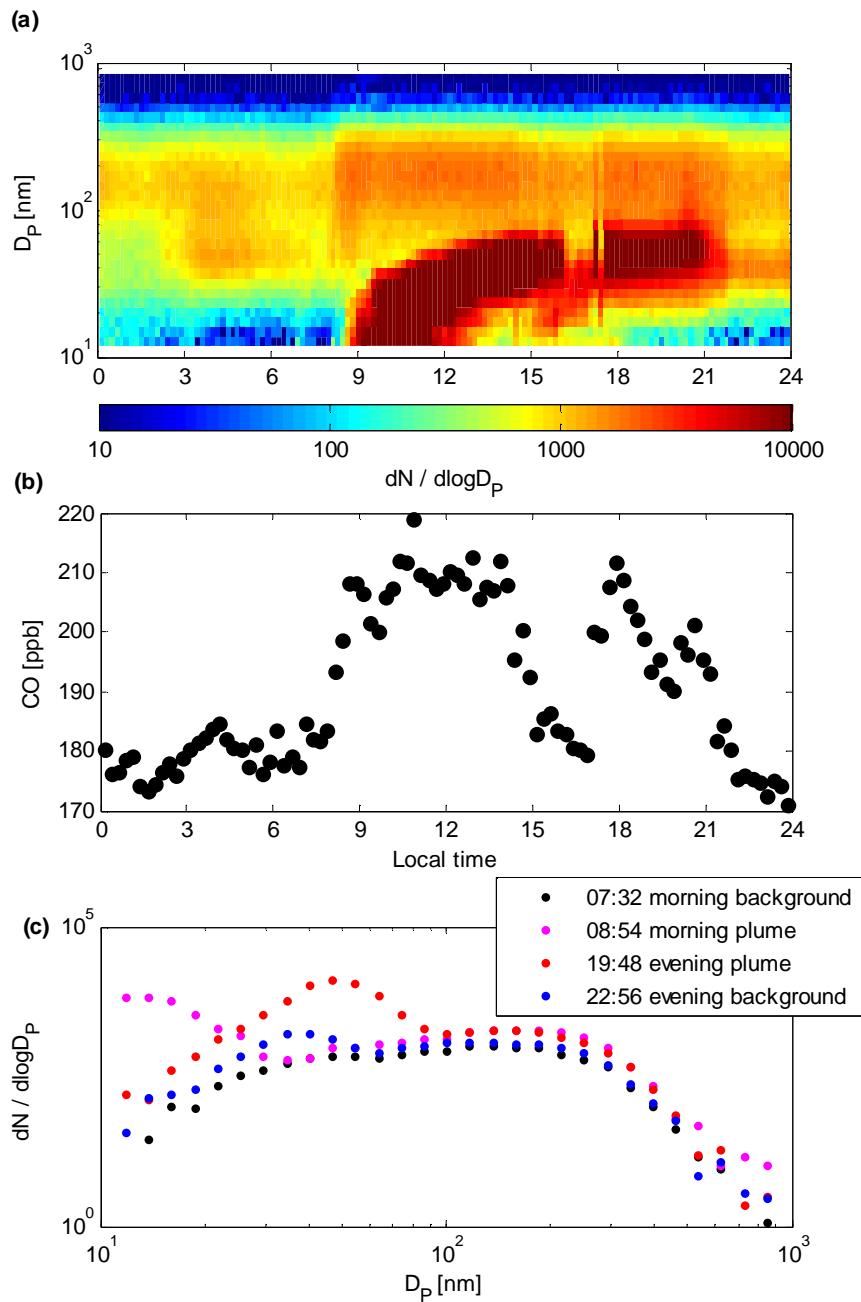
**Figure S5.** Observed  $Q_{ACSM}$  and  $Q_{GR}$  for four different GR size ranges. (a)  $Q_{GR1.5-3}$  vs.  $Q_{ACSM}$ . (b)  $Q_{GR3-7}$  vs.  $Q_{ACSM}$ . (c)  $Q_{GR7-20}$  vs.  $Q_{ACSM}$ . (d) The sum of  $Q_{GR12-30}$  and  $Q_{J12}$  vs.  $Q_{ACSM}$ . In a–c the GR is calculated as the mean of GRs calculated separately for positive and negative ions. The color indicates the fraction of  $\text{SO}_4^{2-}$  in the condensable vapors.



**Figure S6.** Observed  $Q_{OA}$  and  $Q_{GR}$  for four different GR size ranges. (a)  $Q_{GR1.5-3}$  vs.  $Q_{OA}$ . (b)  $Q_{GR3-7}$  vs.  $Q_{OA}$ . (c)  $Q_{GR7-20}$  vs.  $Q_{OA}$ . (d) The sum of  $Q_{GR12-30}$  and  $Q_{J12}$  vs.  $Q_{OA}$ . In A–C the GR is calculated as the mean of GRs calculated separately for positive and negative ions. The color indicates the fraction of  $SO_4^{2-}$  in the condensable vapors.



**Figure S7.** (a) The median ratio of  $Q_{SO_4}$  to  $Q_{GR}$  for four GR size ranges. (b) The median ratio of  $Q_{OA}$  to  $Q_{GR}$  for four GR size ranges. (c) The median ratio of  $Q_{ACSM}$  to  $Q_{GR}$  for four GR size ranges. In all panels the error bars indicate upper and lower quartiles and the dashed line indicates unity.



**Figure S8.** (a) DMPS size distribution for 4 October 2007 at Botsalano [Laakso *et al.*, 2008; Vakkari *et al.*, 2011]. (b) Carbon monoxide concentration on 4 October 2007 at Botsalano. (c) Selected size distributions inside and outside of the biomass burning plume on 4 October 2007. The effect of enhanced growth due to biomass burning is evident in the Aitken mode in the evening: out of plume peak is at 35 nm, within plume peak is at 50 nm.

| Location and time   | Site description                                | Measurements  | Number of NPF events | Mass fractions [%]   |                               |                              |                              | Reference                               |
|---|---|---------------|----------------------|--|-------------------------------|------------------------------|------------------------------|---|
|   |   |               |                      | OA   | SO <sub>4</sub> <sup>2-</sup> | NH <sub>4</sub> <sup>+</sup> | NO <sub>3</sub> <sup>-</sup> |   |
| Welgegund South Africa, 26.57°S 26.94°E 1480 m a.s.l., 1 September 2010 to 15 August 2011 | background grassland, impacted by urban outflow | ACSM and DMPS | 88                   | <b>46</b>  | <b>39</b>                     | <b>13</b>                    | <b>2</b>                     | This study                              |
|   |   |               |                      | 48   | 38                            | 11                           | 3                            |   |
|   | Highveld (urban outflow)                        | 17            | <b>20</b>            | <b>62</b>  | <b>15</b>                     | <b>2</b>                     |                              |   |
|   |   |               | 26                   | 58   | 14                            | 2                            |                              |   |
| regional background   | 14  | <b>50</b>     | <b>35</b>            | <b>9</b>   | <b>2</b>                      |                              |                              |   |
|   |   | 47            | 41                   | 9  | 2                             |                              |                              |   |
| clean sector  | 9   | <b>87</b>     | <b>3</b>             | <b>0</b>   | <b>2</b>                      |                              |                              |   |
|   |   | 89            | 3                    | 3  | 5                             |                              |                              |   |
| Pittsburgh USA, 40.45°N 79.95°W, 7 to 22 September 2002                                   | Urban   | AMS and SMPS  | 3                    | <b>23</b>  | <b>45</b>                     | <b>21</b>                    | <b>2</b>                     | Zhang et al. [2004]                     |
|   |   |               |                      | 28   | 47                            | 22                           | 2                            |   |
| Beijing China, 39.51°N 116.31°E, 23 August 2006   | Urban   | AMS and SMPS  | 1                    | SO <sub>4</sub> <sup>2-</sup> dominated                                  |                               |                              |                              | Wiedensohler et al. [2009] <sup>b</sup> |
| Beijing China, 39.95°N 116.32°E, 5 June to 22 September 2008                              | Urban   | AMS and SMPS  | 21                   | <b>48</b>  | <b>38</b>                     | -                            | -                            | Zhang et al. [2011b] <sup>a</sup>       |
|   |   |               |                      | 54   | 33                            |                              |                              |   |
| Bakersfield USA, 35.35°N 118.97°W, 15 May to 29 June 2010                                 | urban   | AMS and SMPS  | 39                   | 77   | 16                            | 5                            | 2                            | Ahlm et al. [2012]                      |
| Wilmington USA 39.74°N 75.56°W, 1 July 2009 to 15 July 2009                               | urban   | NAMS and SMPS | 4                    | <b>29</b>  | <b>43</b>                     | <b>11</b>                    | <b>8</b>                     | Bzdek et al. [2012]                     |
|   |   |               |                      | 30   | 43                            | 11                           | 8                            |   |
| Brisbane Australia, 1 November to 7 December 2012   | urban   | AMS and NAIS  | 20                   | SO <sub>4</sub> <sup>2-</sup> and NH <sub>4</sub> <sup>+</sup> dominated |                               |                              |                              | Crilley et al. [2014] <sup>b</sup>      |

|   |  |                  |    |                   |                 |                 |                 |                                      |
|---|--|------------------|----|-------------------|-----------------|-----------------|-----------------|--------------------------------------|
| Tecamaco Mexico, 19.70°N<br>98.98°W 2273 m a.s.l., 16<br>March 2006           | rural impacted by urban<br>outflow 40 km NE of<br>central Mexico City  | SMPS and<br>CIMS | 1  | 84                | 10              | -               | 6               | Smith et al.<br>[2008]               |
| Lewes USA, 38.78°N<br>75.16°W, 15 October to 12<br>November 2007              | background coastal<br>impacted by coal-fired<br>power plant 23 km away | NAMS and<br>SMPS | 7  | <b>24</b><br>24   | <b>32</b><br>33 | <b>13</b><br>13 | <b>26</b><br>24 | Bzdek et al.<br>[2012]               |
| Lewes USA, 38.78°N<br>75.16°W, 23 July to 31<br>August 2012                   | background coastal<br>impacted by coal-fired<br>power plant 23 km away | NAMS and<br>SMPS | 2  | 51                | 27              | 10              | 10              | Bzdek et al.<br>[2013]               |
| Lewes USA, 38.78°N<br>75.16°W, 23 July to 31<br>August 2012                   | background coastal<br>impacted by coal-fired<br>power plant 23 km away | NAMS and<br>SMPS | 4  | <b>62</b><br>64   | <b>28</b><br>26 | <b>7</b><br>7   | -               | Bzdek et al.<br>[2014] <sup>c</sup>  |
| Cool USA, 38.52°N<br>121.01°W 450 m a.s.l., 2 to<br>27 June 2010              | background impacted by<br>urban outflow 40km from<br>Sacramento        | AMS and<br>SMPS  | 17 | <b>83</b><br>84   | <b>13</b><br>11 | -               | -               | Setyan et al.<br>[2014] <sup>a</sup> |
|   | urban outflow  |                  | 14 | <b>78</b><br>81   | <b>16</b><br>14 | -               | -               |                                      |
|   | clean sector   |                  | 3  | <b>100</b><br>100 | <b>0</b><br>0   | -               | -               |                                      |
| Hyytiälä Finland, 61.85°N<br>24.29°E 180m a.s.l., 28<br>March to 1 April 2003 | background boreal forest   | AMS and<br>DMPS  | 1  | 100               | 0               | -               | -               | Allan et al.<br>[2006]               |
| Hyytiälä Finland, 61.85°N<br>24.29°E 180m a.s.l., 9 to<br>17 April 2007       | background boreal forest   | AMS and<br>DMPS  | 7  | <b>57</b><br>72   | <b>32</b><br>20 | -               | -               | Pierce et al.<br>[2011] <sup>a</sup> |
| Egbert Canada 44.23°N<br>79.78°W 251 m a.s.l., 21 to<br>22 May 2007           | background farmland,<br>impacted by urban outflow                      | AMS and<br>SMPS  | 2  | 100               | 0               | -               | -               | Pierce et al.<br>[2011] <sup>a</sup> |
| Hyytiälä Finland, 61.85°N   | background boreal forest   | AMS, DMPS        | 1  | 80                | 10              | 5               | 5               | Riipinen et al.                      |

|   |                                 |                  |                                   |                 |                 |                |          |  |                                   |
|---|---------------------------------|------------------|-----------------------------------|-----------------|-----------------|----------------|----------|--|-----------------------------------|
| 24.29°E 180m a.s.l., 23<br>July 2010  |                                 | and NAIS         |                                   |                 |                 |                |          |  | [2012]                            |
| Whistler Mountain Canada,<br>50.06°N 122.96°W two<br>sites at 1300 and 2182 m<br>a.s.l., 5 to 9 July 2010 | background boreal forest        | AMS and<br>SMPS  | sum of 5<br>consecutive<br>events | 98              | <b>0</b>        | <b>0</b>       | <b>2</b> |  | Pierce et al.<br>[2012]           |
| Hyytiälä Finland, 61.85°N<br>24.29°E 180m a.s.l., 28<br>March to 19 April 2011                            | background boreal forest        | NAMS and<br>DMPS | 8                                 | <b>54</b><br>49 | <b>37</b><br>40 | <b>9</b><br>10 | -        |  | Pennington et<br>al. [2013]       |
| Wakayama Japan,<br>34.07°N 135.52°E 750 m<br>a.s.l., 20 to 30 August<br>2010                              | background coniferous<br>forest | AMS and<br>SMPS  | 4                                 | OA dominated    |                 |                |          |  | Han et al.<br>[2014] <sup>b</sup> |

**Table S1.** An overview of studies that report chemical composition of the growth in atmospheric NPF events. Measurements: aerosol chemical speciation monitor (ACSM), aerosol mass spectrometer (AMS), nano aerosol mass spectrometer (NAMS), chemical ionization mass spectrometer (CIMS), differential mobility particle sizer (DMPS) and scanning mobility particle sizer (SMPS). Both mean and median mass fractions are reported when available; median mass fractions are indicated in **bold**.

<sup>a</sup>Only OA and SO<sub>4</sub><sup>2-</sup> reported, readings given assuming that SO<sub>4</sub><sup>2-</sup> is fully neutralized by NH<sub>4</sub><sup>+</sup>.

<sup>b</sup>Non-quantitative.

<sup>c</sup>Re-analysis from Bzdek et al. [2013]. 3% (both mean and median) attributed to SiO<sub>2</sub>.

| GR range [nm] | A [h molecules cm <sup>-3</sup> nm <sup>-1</sup> ] |
|---------------|--|
| 1.5 – 3       | 1.58 × 10 <sup>7</sup>                             |
| 3 – 7         | 1.99 × 10 <sup>7</sup>                             |
| 7 – 20        | 2.28 × 10 <sup>7</sup>                             |
| 12 – 30       | 2.34 × 10 <sup>7</sup>                             |

**Table S2.** Coefficient A in Eq. S1 for different GR ranges [*Nieminen et al.*, 2010].

| Region              | Criteria   |
|---------------------|--|
| Clean               | > 20 h over clean sector, < 4 h over north-eastern sector, 0 h over Highveld |
| Regional background | < 5 h over clean sector, > 10 h over north-eastern sector, 0 h over Highveld |
| Highveld            | <5 h over clean sector, > 25 h over Highveld                                 |

**Table S3.** Criteria for identifying NPF events representative of each source region (cf. Fig. 3).