
Optimization of passive optical network planning for fiber-to-the-home applications

Dissertation submitted in fulfilment of the requirements for the degree
Master of Engineering in Computer Engineering at the Potchefstroom campus of the
North-West University

S.P. van Loggerenberg

20289278

Supervisors: Me. M.J. Grobler

Dr. S.E. Terblanche

April 2013

Declaration

I, Samuel van Loggerenberg hereby declare that the dissertation entitled “Optimization of passive optical network planning for fiber-to-the-home applications” is my own original work and has not already been submitted to any other university or institution for examination.

Acknowledgements

First and foremost, I would like to thank my supervisors, Leenta Grobler and Dr. Fanie Terblanche, for their guidance and support during this research. Particularly for your willingness and positive attitude toward my research.

atesio GmbH, for providing the crucial real-world test data used in the research.

Telkom SA Ltd., for the financial support necessary to complete this work through the masters degree bursary.

The TeleNet research group, for their inputs, suggestions and time devoted to motivate me during uncertain times.

My family, Wim, Miemie and Cecile van Loggerenberg, for your utmost support and unfaltering belief in me.

Arno Meiring, Casper Coertze, Hansie Swanepoel, Heinrich van Nieuwenhuizen, Jean du Toit and Melvin Ferreira for your friendship, support and motivation during the highs and lows.

In memory of my father, Wim, for his interest, in tough times, till the end.

Abstract

Passive optical networks (PONs) are point-to-multipoint networks where a single Central Office (CO) is connected to a number of downstream Optical Network Units (ONUs) via a single optical fiber by splitting the optical signal with passive splitters. Due to technology advances and increasing bandwidth requirements, these networks have moved to last mile deployment, also known as fiber-to-the-home (FTTH).

The planning of these PONs are traditionally done by hand, but automated methods can be used to decrease deployment costs and planning time. Even though a number of methods have been proposed to address this problem through the solving of integer linear programming (ILP) models, they suffer from limited availability, inaccuracies and limited scalability due to the problem complexity.

This dissertation focusses on improving the accuracy of these models as well as improving scalability to a point where large-scale problems can be solved feasibly. To address this, a basic model is implemented to capture the network structure and verified accordingly. Results show this model can be solved quickly, but has large discrepancies with real-world plans.

Refinements in the form of fiber duct sharing, network constraints, multiple splitter types and economies of scale among others are then incorporated into a refined model and solved. Analysis of the experimental results indicates improved accuracy and lower deployment costs, at the expense of increasing computation effort considerably.

Heuristic techniques are then examined to improve computational performance, including an elementary heuristic (ELEM), the Branch Contracting Algorithm (BCA) and problem decomposition. It is demonstrated that through the use of k -means clustering, the refined model can be solved in a fraction of the time while keeping deployment costs comparably low.

Keywords: *Clustering, FTTH, Heuristics, MILP, Optimization, Passive Optical Networks, Planning*

Opsomming

Passiewe optiese netwerke is punt-tot-multipunt netwerke waar 'n enkele sentrale kantoor aan 'n aantal stroomaf optiese netwerk eenhede verbind is deur 'n enkele optiese vesel. Die optiese sein word deur middel van passiewe optiese verdelers versprei. As gevolg van tegnologie verbeterings en toenemende bandwydte vereistes, het hierdie netwerke beweeg na laaste myl ontplooiing, ook bekend as vesel-tot-die-huis (FTTH).

Die beplanning van hierdie netwerke word tradisioneel met die hand gedoen, maar outomatiese metodes kan gebruik word om implementeringskoste en beplanningstyd te verminder. 'n Aantal metodes is reeds voorgestel om hierdie probleem aan te spreek, meestal deur die oplos van heeltallige lineêre programmeringsmodelle. Weens die kompleksiteit van die probleem, ly hierdie metodes egter aan beperkte beskikbaarheid, onakkuraathede en die beperkte vermoë om grootskaalse probleme doeltreffend op te los.

Hierdie verhandeling fokus op die verbetering van die akkuraatheid van hierdie modelle sowel as die bevordering van werksverrigting tot 'n punt waar grootskaalse probleme in 'n billike tyd opgelos kan word. Om hierdie aan te spreek, is 'n basiese model geïmplementeer om die netwerk struktuur vas te vang. Hierdie model word dan ook geverifieer. Resultate bewys dat die model vinnig opgelos kan word, maar die oplossing vertoon groot afwykings vanaf werklike planne.

Verfynings in die vorm van onder andere optiese vesel kanaaldeling, netwerk beperkings, verskillende tipes verdelers en skaalvoordele word saamgevat in 'n verfynde model wat dan opgelos word. Ontleding van die eksperimentele resultate dui op verbeterde akkuraatheid en laer ontplooiingskoste, alhoewel werksverrigting prysgegee word.

Heuristiese tegnieke word dan ondersoek om werksverrigting te verbeter, insluitend 'n elementêre heuristiek (ELEM), die *Branch Contracting* Algoritme (BCA) en probleem

ontbinding. Verder word getoon dat deur die gebruik van die *k-means* trosvormingsalgoritme, die verfynde model opgelos kan word in 'n breukdeel van die tyd terwyl ontplooiingsonkoste laag bly.

Sleutelterm: *Beplanning, FTTH, Heuristiek, MILP, Optimering, Passiewe Optiese Netwerke, Trosvorming*

Contents

List of Figures	xiii
List of Tables	xvii
List of Acronyms	xx
1 Introduction	1
1.1 Background	1
1.2 Motivation	2
1.2.1 Accuracy vs feasibility issue	3
1.3 Research goal	4
1.4 Research objectives	4
1.5 Research methodology	5
1.5.1 Validation and verification	7
1.6 Dissertation overview	8
2 Technical background	9
2.1 Introduction	9
2.2 The OSI model and TCP/IP	10
2.3 Physical/Network access layer	12

2.3.1	Fiber networks	13
2.3.2	Shared fiber networks	15
2.4	Passive Optical Networks (PONs)	16
2.4.1	IEEE 802.3ah / 802.3av	17
2.4.2	ITU-T G.984 / G.987	18
2.5	Conclusion	19
3	Modelling and optimization techniques	20
3.1	Models	20
3.1.1	Optimization	21
3.1.2	Complexity	23
3.2	Methods	25
3.2.1	Optimality	26
3.2.2	Exact methods	28
3.2.3	Heuristics	31
3.2.4	Meta-heuristics	31
3.3	Network planning optimization	32
3.3.1	Multifacility Location-Allocation Problem (MLAP)	32
3.4	Passive Optical Network (PON) planning problem	33
3.5	Previous work on PON planning	35
3.5.1	Exact methods and heuristics	35
3.5.2	Meta-heuristics	37
3.6	Conclusion	38
4	Mathematical model	39
4.1	Design motivation	39

4.1.1	Model considerations	40
4.1.2	Model complexity	40
4.2	Basic model	41
4.2.1	Sets	41
4.2.2	Variables	42
4.2.3	Parameters	42
4.2.4	Objective function	43
4.2.5	Constraints	45
4.2.6	Integer Linear Program (ILP) model	47
4.3	Methodology	48
4.3.1	Input datasets	48
4.3.2	Parameters	50
4.3.3	Result interpretation	50
4.4	Results and analysis	51
4.4.1	Density scenarios	52
4.4.2	Verification	55
4.5	Conclusion	59
5	Refined mathematical model	61
5.1	Model refinements	61
5.1.1	Input data	62
5.1.2	Fiber duct sharing	63
5.1.3	Non-symmetrical fiber cost	68
5.1.4	Multiple central offices	70
5.1.5	Coverage	72
5.1.6	Network constraints	73

5.1.7	Splitter types	75
5.1.8	Economies of scale	77
5.2	Final model	81
5.2.1	Sets	81
5.2.2	Subsets	82
5.2.3	Variables	82
5.2.4	Parameters	83
5.2.5	MILP model	85
5.3	Testing methodology	88
5.3.1	Input datasets	88
5.3.2	Parameters	90
5.3.3	Result interpretation	90
5.4	Results and analysis	93
5.4.1	Baseline	93
5.4.2	Fiber duct sharing	96
5.4.3	Coverage	99
5.4.4	Splitter types	103
5.4.5	Economies of scale	105
5.4.6	Complete model	109
5.5	Conclusion	111
6	Solution improvement	114
6.1	Motivation	114
6.2	Testing methodology	115
6.2.1	Input data	115
6.2.2	Result interpretation	115

6.3	ELEM - Elementary heuristic	116
6.3.1	Algorithm	117
6.3.2	Methodology	117
6.3.3	Result analysis	118
6.4	Reduced model input	121
6.4.1	Reduced model	121
6.4.2	Methodology	122
6.4.3	Result analysis	122
6.5	Modified BCA	125
6.5.1	Algorithm	125
6.5.2	Modifications to algorithm	127
6.5.3	Methodology	127
6.5.4	Result analysis	128
6.6	Segmentation	130
6.6.1	Random-cut segmentation	131
6.6.2	<i>k</i> -means clustering	131
6.6.3	Implementation remarks	132
6.6.4	Methodology	133
6.6.5	Result analysis	134
6.7	Solution improvement remarks	137
6.8	Conclusion	139
7	Conclusions and recommendations	141
7.1	Concluding summary	141
7.2	Future work	142
7.2.1	Model improvement	143

7.2.2	Solution improvement	144
7.3	Closure	145
Bibliography		146
Appendices		
A	Conference and paper contributions from thesis	153
B	Equation reference	154
C	Dijkstra's Algorithm	156
D	Branch and Bound algorithm	158

List of Figures

1.1	Research methodology	6
2.1	Similarities between the OSI model and TCP/IP	12
2.2	Single- and multimode fibers	14
2.3	Fiber penetration for different FTTx architectures from backbone to last mile deployment	15
2.4	Point-to-point (P2P) network vs point-to-multipoint (P2MP) networks .	16
2.5	Basic passive optical network topology	17
3.1	Linear programming (LP) vs integer linear programming (ILP)	23
3.2	Euler diagram of the NP type of complexity classes	26
3.3	Local and global optima for a function $f(x)$	28
3.4	Search space traversal of the branch and bound algorithm	30
3.5	Upper and lower bounds of discrete minimization problem solved using branch and bound	30
3.6	The multi-facility location-allocation problem (MLAP)	34
4.1	<i>VeriNet</i> dataset	50
4.2	Setup and solution time boxplots for Scenario 2 - Suburban	53
(a)	Basic model setup time	53
(b)	Basic model solution time	53

4.3	Log-log plot of basic model solution time vs dataset size	54
4.4	Basic model results for scenario 1	56
	(a) Suburban density (150 nodes)	56
	(b) Town density (400 nodes)	56
	(c) City density (2500 nodes)	56
4.5	Basic model results for scenario 2	57
	(a) Suburban density (1410 nodes)	57
	(b) Town density (3760 nodes)	57
4.6	<i>VeriNet</i> optimal model result	59
5.1	GIS data containing different node types and trails	62
5.2	The concept of fiber duct sharing	64
5.3	Fiber duct sharing terminology	65
5.4	Possible paths between commodity pairs with different numbers of in- termediary nodes	67
5.5	Effect of economies of scale on total product cost	78
5.6	Piecewise linear approximation of total product cost	78
5.7	Larger scale plot of <i>MedNet</i> 's central region	90
5.8	GIS-mapped datasets used for the refined model	91
	(a) <i>MedNet</i> dataset	91
	(b) <i>SubNet</i> dataset	91
	(c) <i>CityNet</i> dataset	91
	(d) <i>HugeNet</i> dataset	91
5.9	Log-log plots of time to solve and peak memory usage for refined model given number of paths	96
	(a) Time to solve	96
	(b) Peak memory usage	96

5.10	Plots of refined model performance for different number of shortest paths	98
(a)	Preprocessing time	98
(b)	Peak memory usage	98
(c)	Time to solve	98
(d)	Percentage cost saving	98
5.11	Total deployment cost and time to solve for the refined model with and without fiber duct sharing - 1 path	99
5.12	Difference in refined model topological output when increasing number of shortest paths	100
(a)	Single shortest path	100
(b)	20 shortest paths	100
5.13	Plots of refined model performance with regards to coverage	102
(a)	Deployment cost per ONU	102
(b)	Solution time	102
5.14	Topological output of <i>CityNet</i> for different coverage values	103
(a)	10 % coverage	103
(b)	50 % coverage	103
(c)	100 % coverage	103
5.15	Economies of scale total deployment graphs for ONUs and splitters	107
(a)	ONU - total discount	107
(b)	ONU - incremental discount	107
(c)	SP - total discount	107
(d)	SP - incremental discount	107
5.16	Total deployment cost of refined and baseline model	110
5.17	Complete refined model topological output	112
(a)	<i>MedNet</i> dataset	112

(b)	<i>CityNet</i> dataset	112
(c)	<i>SubNet</i> dataset	112
6.1	Total deployment cost comparison between complete model and ELEM	119
6.2	Total deployment cost comparison between complete model and reduced model solution guess	123
6.3	Flowchart of the Branch Contracting Algorithm (BCA)	126
6.4	Total deployment cost comparison between complete model, BCAMod and BCAModNoF	128
6.5	Steps of the random-cut segmentation method	131
6.6	Clustering of <i>MedNet</i> with different values of k	135
(a)	<i>MedNet</i> with $k = 4$	135
(b)	<i>MedNet</i> with $k = 29$	135
6.7	Total deployment cost of the segmented model with cluster sizes between 50 and 400	135
6.8	Logarithmic plot of the computation time of the segmented model with cluster sizes between 50 and 400	136
6.9	Peak memory usage when solving the segmented model with cluster sizes between 50 and 400	137
6.10	<i>HugeNet</i> solved, $k = 15$	138

List of Tables

3.1	Time complexity of some well known problems	24
4.1	Dataset scenarios for basic model	49
4.2	<i>VeriNet</i> dataset parameters	50
4.3	Basic model design parameters	51
4.4	Basic model scenario results	52
4.5	Basic model computational results	53
4.6	Calculated Manhattan distances between nodes of <i>VeriNet</i>	55
4.7	Total cost for all <i>VeriNet</i> configurations	58
5.1	Detailed GIS-mapped dataset information	89
5.2	Refined model global design parameters	92
5.3	Refined model test environments	94
5.4	Refined model results: Baseline test	95
5.5	Refined model results: Coverage test	101
5.6	Refined model tested splitter types	104
5.7	Refined model results: Splitter type test	104
5.8	Refined model pricing with economies of scale	106
5.9	Refined model results: Economies of scale test - Total discount	108
5.10	Refined model results: Economies of scale test - Incremental discount	108

5.11	Complete refined model splitter pricing and types	109
5.12	Refined model results: Complete test	111
6.1	ELEM results: Solution guess	119
6.2	ELEM results: Warm start	120
6.3	Reduced model results: Solution guess	124
6.4	Reduced model results: Warm start	124
6.5	BCA Results: Shortest path SP-CO fiber (BCAMod)	129
6.6	BCA Results: No SP-CO fiber (BCAModNoF)	130
6.7	Values of k for the k -means algorithm based on cluster sizes	134
6.8	Valid clusters computed for different values of k	134

List of Algorithms

6.1	ELEM	118
6.2	<i>k</i> -means clustering	132
C.1	Dijkstra's algorithm	156
C.2	Dijkstra's algorithm (continued)	157
D.1	General branch and bound	158

List of Acronyms

AE Active Ethernet

APON ATM PON

ATM Asynchronous Transfer Mode

BCA Branch Contracting Algorithm

CAPEX Capital Expenditure

CD Cable Distribution

CIL Channel Insertion Loss

CO Central Office

COs Central Offices

DARPA Defense Advanced Research Projects Agency

DSL Digital Subscriber Line

EFM Ethernet in the First Mile

EOS Economies of Scale

EPON Ethernet Passive Optical Network

FSAN Full Service Access Network

FTAM File Transfer and Access Management Protocol

FTP File Transfer Protocol

FTTB Fiber to the Building

FTTC Fiber to the Curb

FTTH Fiber to the Home

FTTN Fiber to the Node

FTTx Fiber-to-the-x

GA Genetic Algorithm

GIS Geographic Information System

GPON Gigabit Passive Optical Network

HTTP Hypertext Transfer Protocol

IEEE Institute of Electrical and Electronics Engineers

IETF Internet Engineering Task Force

ILP Integer Linear Program

IP Internet Protocol

IPGs Interpacket Gaps

IPTV IP Television

ISO International Organisation for Standardisation

ITU-T International Telecommunication Union - Telecommunication Standardisation
Sector

LLID Logical Link ID

LP Linear Program

MILP Mixed Integer Linear Programming

MLAP Multifacility Location-Allocation Problem

MST Minimum Spanning Tree

OLT Optical Line Terminal

ONU Optical Network Unit

ONUs Optical Network Units

OSI Open Systems Interconnection

P2MP Point-to-Multipoint

P2P Point-to-Point

PDUs Protocol Data Units

PMD Physical Medium Dependent

POI Point of Interest

PON Passive Optical Network

PONs Passive Optical Networks

RARA Random Allocation and Reallocation Algorithm

ROI Return on Investment

SA Simulated Annealing

SMTP Simple Mail Transfer Protocol

SPs Service Providers

SSD Solid-State Drive

TC Transmission Convergence

TCP Transmission Control Protocol

TDM Time Division Multiplexing

VDSL Very-high-bit-rate Digital Subscriber Line

VOIP Voice-over-IP