

## ANNEXURE 1 TO CHAPTER 7

United Nations  Nations Unies

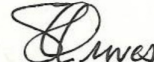
### DEPARTMENT OF SAFETY AND SECURITY

INTEROFFICE MEMORANDUM

MEMORANDUM INTERIEUR

DATE: 11 March 2010

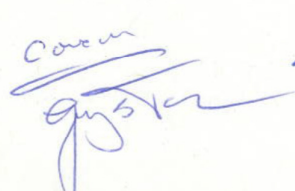

TO: Mr. Gregory B. Starr  
A: Under-Secretary-General

THROUGH: Mr. Stuart Groves  
S/C DE: Senior Operations Officer, DRO 

FROM: Barend Prinsloo  
DE: Programme Officer, DRO

SUBJECT: **Request for approval to continue studies**  
OBJET:

1. I am currently a doctoral candidate at the North-West University in South Africa. The registered title of my thesis is "The AU/UN hybrid peace operation in Africa – a new approach to maintain international peace and security" (please see attached a copy of my research proposal). This study will primarily be a case study of UNAMID to determine whether or not the United Nations has deviated from regular peacekeeping practice by participating in a hybrid peace operation and to test the implications for the United Nations by being associated with a hybrid operation.
2. As part of my research, it will be necessary to conduct interviews with staff members who have been or are still involved with UNAMID. My studies do not focus on operational aspects of UNAMID, but rather on the political dimension of the hybrid operation. Interviews will therefore be conducted with staff members from the Department of Political Affairs, the United Nations Department of Peacekeeping Operations' Political Affairs section(s) and diplomats from countries closely involved with the hybrid operation, such as Sudan, and ideally members of the Peace and Security Council of the African Union.
3. The thesis does not require the inclusion of confidential information or information that would adversely affect my duties as a civil servant, the integrity of the Department of Safety and Security, or the interest of the Organisation.
4. In line with ST/AI/2000/13 par 6.6 (please find attached a copy), I respectfully request approval to continue my studies. The projected date of completion of my studies is December 2010.

   
11-3-2010

## ANNEXURE 2 TO CHAPTER 7

Dear Respondent,

I am currently registered as a PhD student in Political Sciences at the North-West University in South Africa. The registered title of my thesis is “*The AU/UN Hybrid Peace Operation in Africa – a new approach to international peace and security*”. My research focuses on the political dimensions of cooperation in peacekeeping between the African Union (AU) and the United Nations (UN), with a specific emphasis on the AU/UN Hybrid Operation in Darfur (UNAMID). I have permission from the Under-Secretary-General of the Department of Safety and Security to conduct the study. For this study, I interview UN and AU officials who have had previous experience, or those who are still involved in one way or another, with UNAMID and/or Darfur, Sudan. Having been identified as such an official, I would value feedback on your experiences and thoughts on UN/AU peace operation cooperation, especially regarding UNAMID.

Agreement between interviewer and respondent:

1. Participation is voluntary.
2. All interviews are treated with the utmost of confidence. Confidentiality is ensured by using pseudonyms when referring to respondents where it is regarded as necessary, or requested by the respondent.  
**Do you wish to remain anonymous?**
3. This study considers opinions and it is crucial to record them to have an accurate reflection of what was said. All recorded interviews will be transcribed verbatim and no one besides my promoter and myself will have access to the recorded or transcribed interviews.
4. If necessary and so requested, pseudonyms are given during transcription and all transcriptions are kept at my home in a fire-proof safe.
5. During the interview, I may write down some notes and you are welcome to ask questions at any time.

Thank you indeed for your time and valuable input,  
Regards,

**(signed)**

**Barend Prinsloo**

Email: [prinsloo@un.org](mailto:prinsloo@un.org)

Tel: +19178923602

## ANNEXURE 3 TO CHAPTER 7

Int. #:	Date	Name of Respondent	Job title	Dept/Org
<b>By signing this, I acknowledge that I have been given the signed informed consent letter, the support material<sup>4</sup>, and that I have indicated if I want to be anonymous.</b>			<b>Anon? Please mark: Yes or No</b> <b>Sign:</b>	

### Questions:

1. To start, please provide your name and some background on your current work functions.
2. Have you ever been involved with the AU/UN Hybrid Operation in Darfur? If so,
  - a. In what way?
  - b. For which period of time?
3. What do you perceive to be the differences between a regular peacekeeping mission and a “hybrid” peacekeeping mission, such as UNAMID?
4. What are your views in general on the current political relationship between the African Union and the United Nations?
5. In your view, has UNAMID increased or decreased cooperation between the AU and UN?
  - a. In what manner did it increase/decrease?
  - b. How will UNAMID influence cooperation in the future?
6. What are the reasons, do you believe, which necessitated the transition from an AU peacekeeping mission (AMIS) to a AU/United Nations hybrid mission in Darfur (UNAMID)?
7. Regarding UNAMID, could it be deemed a success, in terms of:
  - a. Its mandate? Why?
  - b. Its structure and manpower? Why?
  - c. Enforcing the Darfur Peace Agreement? Why and how?
  - d. Curbing the conflict in Darfur? How?
8. How does UNAMID, being a hybrid operation, impact on the mandate of the UN to be the sole entity responsible for the maintenance of international peace and security?
9. Do you think the African Union would have approached the UN to authorize a peacekeeping mission if they had the necessary resources?
  - a. Please motivate your answer.
10. It is common knowledge that UNAMID struggled to get the necessary resources to enforce its mandate – what do you believe are the main reasons for that?
11. What other factors do you think, be it political or operational, impact on the success of UNAMID?
12. Do you think a hybrid peacekeeping model, involving an equal command structure between the United Nations and regional organizations, is a viable peacekeeping model for the United Nations to embrace in future?

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<sup>4</sup> Copies of the Darfur Peace Agreement, UNAMID’s mandate, and the letter from the USG DSS providing permission for the study.

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AU *see* AFRICAN UNION.

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